What facilities are included in this data collection?
All confinement facilities usually operated by a local law enforcement agency that are intended for adults but sometimes hold juveniles.

- INCLUDE all jails and city/county correctional centers that hold inmates beyond arraignment. Report on ALL inmates, including those held in separate holding or lookup areas within your facility.
- INCLUDE special jail facilities (e.g., medical/treatment/release centers, halfway houses, and work farms).
- EXCLUDE privately-operated jails and facilities operated by two or more jurisdictions, i.e., multi-jurisdictional facilities. (These facilities will be contacted directly for data on sexual victimization.)

What inmates and incidents are included in this data collection?
Inmates under your custody between January 1, 2014, and December 31, 2014.

- INCLUDE incidents involving inmates under the authority, custody, or care of your confinement or community-based facilities or staff.
- EXCLUDE inmates held in other jurisdictions.

Reporting instructions:
- Please complete the entire SSV-3 Form.
- If the answer to a question is "not available" or "unknown," write "DK" (do not know) in the space provided.
- If the answer to a question is "not applicable," write "NA" in the space provided.
- Section I: when exact numeric answers are not available, provide estimates and mark (✓) the box beside each figure.
- Sections II, III, and IV: if the answer to a question is "none" or "zero," write "0" or mark the box (✓) provided.

Substantiated incidents of sexual violence:
- Please complete an Incident Form (Adult, SSV-1A) for each substantiated incident of sexual victimization.

Returning forms:
- If you need assistance, please call Greta Clark at the U.S. Census Bureau toll-free at 1-888-369-3613, option 2, or e-mail govs.ssv@census.gov
- Please return your completed summary and substantiated incident forms by August 14, 2015.
- You may complete these forms online (see enclosed instructions). Or if you prefer, you may return these forms by mail or fax.
- MAIL TO: U.S. Census Bureau, P.O. Box 5000, Jeffersonville, IN 47199-5000
- FAX (TOLL FREE): 1-888-262-3974

Burden Statement
Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.
### Section I - GENERAL INFORMATION

1. How many persons under the supervision of your local jail jurisdiction were—
   
   a. **CONFINED** in your jail facilities on December 31, 2014?
   
   - INCLUDE persons on transfer to treatment facilities but who remain under your jurisdiction.
   - INCLUDE persons out to court while under your jurisdiction.
   - INCLUDE persons held for other jurisdictions.
   - EXCLUDE persons housed in facilities operated by two or more jurisdictions or those held in privately-operated jails.
   - EXCLUDE inmates on AWOL, escape, or long-term transfer to other jurisdictions.
   - EXCLUDE all persons in non-residential community-based programs run by your jail (e.g., electronic monitoring, house arrest, community service, day reporting, work programs).

   Inmates on December 31, 2014
   
   Male  | Female
   --|--
   473 | 56

   b. **ADMITTED** to your jail facilities during 2014?
   
   - INCLUDE new admissions only, i.e., persons officially booked into and housed in your facilities by formal legal document and by the authority of the courts or some other official agency.
   - INCLUDE repeat offenders booked on new charges.
   - EXCLUDE returns from escape, work release, medical appointments/treatment facilities, and bail or court appearances.

   New admissions during 2014
   
   Male  | Female
   --|--
   428 | 530

2. Between January 1, 2014, and December 31, 2014, what was the average daily population of all jail confinement facilities operated by your jurisdiction?

   To calculate the average daily population, add the number of persons for each day during the period January 1, 2014, through December 31, 2014, and divide the result by 365.

   Average daily population
   
   Male  | Female
   --|--
   446 | 51
3. Does your local jail jurisdiction record allegations of inmate-on-inmate NONCONSENSUAL SEXUAL ACTS? (See definitions on page 2.)
   01 X Yes  →  a. Do you record all reported occurrences, or only substantiated ones?
   01 Y All
   02 X Substantiated only

   b. Do you record attempted NONCONSENSUAL SEXUAL ACTS or only completed ones?
   01 X Both attempted and completed
   02 X Completed only

   02 X No  →  Please provide the definition used by your local jail jurisdiction for inmate-on-inmate NONCONSENSUAL SEXUAL ACTS in the space below. Use that definition to complete items 4 and 5.

4. Between January 1, 2014, and December 31, 2014, how many allegations of inmate-on-inmate NONCONSENSUAL SEXUAL ACTS were reported?

   Number reported  _______  1  X None
   - If an allegation involved multiple victimizations, count only once.
   - Exclude any allegations that were reported as consensual.

5. Of the allegations reported in Item 4, how many were — (Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.)

   a. Substantiated  _______  0  X None
      - The event was investigated and determined to have occurred, based on a preponderance of the evidence (28 C.F.R. §115.72).

   b. Unsubstantiated  _______  0  X None
      - The investigation concluded that evidence was insufficient to determine whether or not the event occurred.

   c. Unfounded  _______  0  X None
      - The investigation determined that the event did NOT occur.

   d. Investigation ongoing  _______  1  X None
      - Evidence is still being gathered, processed or evaluated, and a final determination has not yet been made.

   e. TOTAL (Sum of Items 5a through 5d)  _______  1  X None
      - The total should equal the number reported in Item 4.

6. Does your local jail jurisdiction record allegations of inmate-on-inmate ABUSIVE SEXUAL CONTACT? (See definitions on page 2.)
   01 X Yes  →  Can these be counted separately from allegations of NONCONSENSUAL SEXUAL ACTS?
   01 X Yes
   02 X No  →  Please provide an explanation in the space below and then skip to Item 9.

7. Between January 1, 2014, and December 31, 2014, how many allegations of inmate-on-inmate ABUSIVE SEXUAL CONTACT were reported?

   Number reported  _______  1  X None
   - If an allegation involved multiple victimizations, count only once.
   - Exclude any allegations that were reported as consensual.

8. Of the allegations reported in Item 7, how many were — (Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.)

   a. Substantiated  _______  0  X None

   b. Unsubstantiated  _______  0  X None

   c. Unfounded  _______  0  X None

   d. Investigation ongoing  _______  1  X None

   e. TOTAL (Sum of Items 8a through 8d)  _______  1  X None
      - The total should equal the number reported in Item 7.
9. Does your local jail jurisdiction record allegations of inmate-on-inmate SEXUAL HARASSMENT? (See definitions on page 2.)

01 ☑ Yes → Do you record all reported allegations or only substantiated ones?
   01 ☑ All
   02 ☐ Substantiated only

02 ☐ No → Please provide an explanation in the space below and then skip to Section III.

10. Between January 1, 2014, and December 31, 2014, how many allegations of inmate-on-inmate SEXUAL HARASSMENT were reported?

   Number reported: [ ]
   - If an allegation involved multiple victims or inmate perpetrators, count only once.
   - Exclude any allegations that were reported as consensual.

11. Of the allegations reported in Item 10, how many were—

   a. Substantiated: [ ]
   - None

   b. Unsubstantiated: [ ]
   - None

   c. Unfounded: [ ]
   - None

   d. Investigation ongoing: [ ]
   - None

   e. TOTAL (Sum of Items 11a through 11d): [ ]
   - The total should equal the number reported in Item 10.

Section III – STAFF-ON-INMATE SEXUAL ABUSE

DEFINITIONS

The survey utilizes the definition of “sexual abuse” by a staff member, contractor or volunteer as provided by 28 C.F.R. §115.6 in the National Standards to Prevent, Detect, and Respond to Prison Rape (under the Prison Rape Elimination Act of 2003). For purposes of SSV, sexual abuse is disaggregated into two categories of staff-on-inmate sexual abuse. These categories are:

STAFF SEXUAL MISCONDUCT

Any behavior or act of a sexual nature directed toward an inmate by an employee, volunteer, contractor, official visitor or other agency representative (exclude family, friends or other visitors).

Sexual relationships of a romantic nature between staff and inmates are included in this definition. Consensual or nonconsensual sexual acts include—

- Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks that is unrelated to official duties or with the intent to abuse, arouse, or gratify sexual desire;
  
  OR

- Completed, attempted, threatened, or requested sexual acts;
  
  OR

- Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for reasons unrelated to official duties or for sexual gratification.

STAFF SEXUAL HARASSMENT

Repeated verbal statements, comments or gestures of a sexual nature to an inmate by an employee, volunteer, contractor, official visitor, or other agency representative (exclude family, friends, or other visitors). Include—

- Demeaning references to gender; or sexually suggestive or derogatory comments about body or clothing;
  
  OR

- Repeated profane or obscene language or gestures.
12. Does your local jail jurisdiction record allegations of STAFF SEXUAL MISCONDUCT? (See definitions on page 4.)

01 ☑ Yes → Do you record all reported occurrences, or only substantiated ones?

01 ☑ All
02 ☐ Substantiated only

02 ☐ No → Please provide an explanation in the space below and then skip to Item 15.

13. Between January 1, 2014, and December 31, 2014, how many allegations of STAFF SEXUAL MISCONDUCT were reported?

Number reported □ None

・ If an allegation involved multiple victimizations, or staff, count only once.

14. Of the allegations reported in Item 10, how many were — (Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.)

a. Substantiated □ None

b. Unsubstantiated □ None

c. Unfounded □ None

d. Investigation ongoing □ None

e. TOTAL (Sum of Items 14a through 14d) □ None

・ The total should equal the number reported in Item 13.

15. Does your local jail jurisdiction record allegations of STAFF SEXUAL HARASSMENT? (See definitions on page 4.)

01 ☑ Yes → Can these allegations be counted separately from allegations of STAFF SEXUAL MISCONDUCT?

01 ☑ Yes
02 ☐ No → Skip to Item 18.

02 ☐ No → Please provide an explanation in the space below and then skip to Item 18.

16. Between January 1, 2014, and December 31, 2014, how many allegations of STAFF SEXUAL HARASSMENT were reported?

Number reported □ None

・ If an allegation involved multiple victims or staff, count only once.

17. Of the allegations reported in Item 16, how many were — (Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.)

a. Substantiated □ None

b. Unsubstantiated □ None

c. Unfounded □ None

d. Investigation ongoing □ None

e. TOTAL (Sum of Items 17a through 17d) □ None

・ The number should equal the number reported in Item 16.
<table>
<thead>
<tr>
<th>Section IV – TOTAL SUBSTANTIATED INCIDENTS OF SEXUAL VICTIMIZATION</th>
<th>NOTES</th>
</tr>
</thead>
<tbody>
<tr>
<td>18. What is the total number of substantiated incidents reported in Items 5a, 8a, 11a, 14a, and 17a?</td>
<td></td>
</tr>
<tr>
<td>Total substantiated incidents ......................................</td>
<td></td>
</tr>
<tr>
<td>□ 2 □ None</td>
<td></td>
</tr>
<tr>
<td>→ Please complete an Incident Form (Adult, SSV-IA) for each substantiated incident of sexual victimization.</td>
<td></td>
</tr>
</tbody>
</table>
1. On what date did the incident occur? (If more than one date, report the most recent.)

Month Day Year
05 03 2014 (date of report 9/11/15)

2. In what facility did the incident occur?

Name: 

City/Place: 

3. Where did the incident occur? (Mark [X] all that apply.)

01 ☑ In the victim’s cell or room (e.g., if the victim and perpetrator share a cell or room, count as the victim’s cell)
02 ☑ In the perpetrator’s cell or room
03 ☑ In a dormitory or other multiple housing unit
04 ☑ In a common area (e.g., shower, dayroom, bathroom)
05 ☑ In a temporary holding cell or intake area within the facility
06 ☑ In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway)
07 ☑ In an instructional area (e.g., classroom, school, library, conference room)
08 ☑ In a recreation area (e.g., yard, courtyard, gymnasium)
09 ☑ In a medical area (e.g., infirmary, health clinic)
10 ☑ In a staff area (e.g., office, break room, counselor’s office)
11 ☑ Offsite or while in transit
12 ☑ Other – Specify

13 ☑ Location unknown

4. Did the incident take place in an area subject to video monitoring?

01 ☑ Yes
02 ☑ No
03 ☑ Don’t know

5. What time did the incident occur? (Mark [X] all that apply.)

01 ☑ Morning (6 a.m. to noon)
02 ☑ Afternoon (noon to 6 p.m.)
03 ☑ Evening (6 p.m. to midnight)
04 ☑ Overnight (midnight to 6 a.m.)
05 ☑ Time unknown

6. How many victims were involved in the incident?

Number of victims __________

→ If more than two victims were involved, report their characteristics in Notes on page 5.

7. Victim #1: What was the victim’s gender? (See definitions on page 5.)

01 ☑ Male
02 ☑ Female
03 ☑ Transgender
04 ☑ Intersex

8. Victim #1: What was the victim’s age at the time of the incident?

01 ☑ Under age 18
02 ☑ 18 – 24
03 ☑ 25 – 29
04 ☑ 30 – 34
05 ☑ 35 – 39
06 ☑ 40 – 44
07 ☑ 45 – 54
08 ☑ 55 or older

9. Victim #1: What was the victim’s race/ethnic origin? (Mark [X] all that apply.)

01 ☑ White (not of Hispanic origin)
02 ☑ Black (not of Hispanic origin)
03 ☑ Hispanic or Latino
04 ☑ American Indian/Alaska Native (not of Hispanic origin)
05 ☑ Asian (not of Hispanic origin)
06 ☑ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 ☑ Other racial category in your information system – Specify

10. Victim #2: What was the victim’s gender? (See definitions on page 5.)

01 ☑ Male
02 ☑ Female
03 ☑ Transgender
04 ☑ Intersex

11. Victim #2: What was the victim’s age at the time of the incident?

01 ☑ Under age 18
02 ☑ 18 – 24
03 ☑ 25 – 29
04 ☑ 30 – 34
05 ☑ 35 – 39
06 ☑ 40 – 44
07 ☑ 45 – 54
08 ☑ 55 or older
12. Victim #2: What was the victim's race/ethnic origin? (Mark [X] all that apply.)
01 [ ] White (not of Hispanic origin)
02 [ ] Black (not of Hispanic origin)
03 [ ] Hispanic or Latino
04 [ ] American Indian/Alaska Native (not of Hispanic origin)
05 [ ] Asian (not of Hispanic origin)
06 [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 [ ] Other racial category in your information system – Specify [ ]

13. Did the victim(s) sustain any physical injury during the incident?
02 [X] No (No injury sustained)
01 [ ] Yes → a. What injuries occurred? (Mark [X] all that apply for all victims.)
01 [ ] Knife or stab wounds
02 [ ] Broken bones
03 [ ] Anal or vaginal tearing
04 [ ] Chipped or knocked out teeth
05 [ ] Internal injuries
06 [ ] Knocked unconscious
07 [ ] Bruises, black eye, sprains, cuts, scratches, swelling, welts
08 [ ] Other – Specify [ ]

→ b. Did the victim(s) receive medical treatment for these injuries?
01 [ ] Yes
02 [ ] No

14. Who reported the incident? (Mark [X] all that apply.)
01 [X] Victim
02 [ ] Another inmate (non-victim)
03 [ ] Victim’s family or friend
04 [ ] Correctional officer or front line staff
06 [ ] Administrative staff
06 [ ] Medical, healthcare, or mental health staff
07 [ ] Instructor, teacher, or counselor
08 [ ] Other staff (e.g., kitchen worker, maintenance staff)
09 [ ] Chaplain or other religion official
10 [ ] Perpetrator
11 [ ] Perpetrator's family or friend
12 [ ] Grievance coordinator, grievance process, or ombudsperson
13 [ ] Attorney or legal guardian (e.g., other than family member)
14 [ ] Confidential informant, anonymous tip, hotline, or through monitoring (e.g., camera, telephone, or mail)
15 [ ] Other – Specify [ ]

15. After the incident was reported, was the victim(s) – (Mark [X] all that apply for all victims.)
01 [ ] Given a medical examination
02 [ ] Administered a rape kit
03 [ ] Tested for HIV/AIDS
04 [ ] Tested for other sexually transmitted diseases
05 [ ] Provided with counseling or mental health treatment
06 [ ] Offered but declined testing or treatment
07 [ ] Already released/discharged
08 [X] None of the above

16. After the incident was reported, was the victim(s) – (Mark [X] all that apply for all victims.)
01 [ ] Placed in or returned to administrative segregation, protective custody, or disciplinary segregation
02 [ ] Placed in a medical unit, ward, or hospital
03 [ ] Confined to own cell or room
04 [ ] Given a higher custody level or different unit within the facility
05 [ ] Transferred to another facility
06 [ ] Transferred to another housing unit or dorm, or given a single room or cell
07 [X] Separated from perpetrator
08 [ ] Issued disciplinary report or loss of privileges
09 [ ] Placed in camera room, under closer surveillance, or increased supervision
10 [ ] Other – Specify [ ]
11 [ ] None of the above

17. What type of sexual violence was involved in the incident? (See definitions on page 5.)
01 [ ] Inmate-on-inmate nonconsensual sexual act → Complete Section A
02 [X] Inmate-on-inmate abusive sexual contact → Complete Section A
03 [X] Inmate-on-inmate sexual harassment → Complete Section A
04 [ ] Staff sexual misconduct → Complete Section B
05 [ ] Staff sexual harassment → Complete Section B

Section A – INMATE-ON-INMATE SEXUAL VICTIMIZATION

18. How many perpetrators were involved in the incident?
Number of perpetrators ____________________

→ If more than two perpetrators were involved, report their characteristics in Notes on page 5.
19. Perpetrator #1: What was the perpetrator's gender? (See definitions on page 5.)

- Male
- Female

01 [ ] Male 
02 [ ] Female

03 [ ] Transgender
04 [ ] Intersex

20. Perpetrator #1: What was the perpetrator's age at the time of the incident?

- Under age 18
- 18-24
- 25-29
- 30-34
- 35-39
- 40-44
- 45-54
- 55 or older

01 [ ] Under age 18
02 [ ] 18-24
03 [ ] 25-29
04 [ ] 30-34
05 [ ] 35-39
06 [ ] 40-44
07 [ ] 45-54
08 [ ] 55 or older

21. Perpetrator #1: What was the perpetrator's race/ethnic origin? (Mark [x] all that apply.)

- White (not of Hispanic origin)
- Black (not of Hispanic origin)
- Hispanic or Latino
- American Indian/Alaska Native (not of Hispanic origin)
- Asian (not of Hispanic origin)
- Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
- Other racial category in your information system – Specify

01 [x] White (not of Hispanic origin)
02 [x] Black (not of Hispanic origin)
03 [x] Hispanic or Latino
04 [x] American Indian/Alaska Native (not of Hispanic origin)
05 [x] Asian (not of Hispanic origin)
06 [x] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 [ ] Other – Specify

22. Perpetrator #2: What was the perpetrator's gender? (See definitions on page 5.)

- Male
- Female
- Intersex

01 [ ] Male 
02 [ ] Female

03 [ ] Intersex

23. Perpetrator #2: What was the perpetrator's age at the time of the incident?

- Under age 18
- 18-24
- 25-29
- 30-34
- 35-39
- 40-44
- 45-54
- 55 or older

01 [ ] Under age 18
02 [ ] 18-24
03 [ ] 25-29
04 [ ] 30-34
05 [ ] 35-39
06 [ ] 40-44
07 [ ] 45-54
08 [ ] 55 or older

24. Perpetrator #2: What was the perpetrator's race/ethnic origin? (Mark [x] all that apply.)

- White (not of Hispanic origin)
- Black (not of Hispanic origin)
- Hispanic or Latino
- American Indian/Alaska Native (not of Hispanic origin)
- Asian (not of Hispanic origin)
- Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
- Other racial category in your information system – Specify

01 [ ] White (not of Hispanic origin)
02 [ ] Black (not of Hispanic origin)
03 [ ] Hispanic or Latino
04 [ ] American Indian/Alaska Native (not of Hispanic origin)
05 [ ] Asian (not of Hispanic origin)
06 [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 [ ] Other – Specify

25. What was the nature of the incident? (Mark [x] all that apply.)

- Voluntary sexual contact between inmates
- Sexual harassment
- Indecent exposure, masturbation, or voyeurism
- Horseplay
- Repeated and unwelcome sexual advances or requests for sexual favors
- Unwanted touching for sexual gratification or abusive sexual contact
- Pressure or coercion (without force) resulting in a nonconsensual sexual act
- Physical force (or the threat of force) resulting in a nonconsensual sexual act

01 [ ] Voluntary sexual contact between inmates
02 [x] Sexual harassment
03 [x] Indecent exposure, masturbation, or voyeurism
04 [ ] Horseplay
05 [x] Repeated and unwelcome sexual advances or requests for sexual favors
06 [x] Unwanted touching for sexual gratification or abusive sexual contact
07 [ ] Pressure or coercion (without force) resulting in a nonconsensual sexual act
08 [x] Physical force (or the threat of force) resulting in a nonconsensual sexual act
09 [ ] Other – Specify

26. What type of pressure or physical force was used by the perpetrator on the victim? (Mark [x] all that apply for all perpetrators.)

- Sexual harassment, sexual innuendo, or verbal comments
- Persuasion or talked into sexual activity
- Surprised the victim with unwanted touching, grabbing or groping, or victim was asleep
- Bribery or blackmail
- Gave victim drugs or alcohol
- Offered protection from other inmates
- Threatened with physical harm
- Physically held victim down or restrained in some way
- Physically harmed or injured
- Threatened with a weapon

01 [ ] Sexual harassment, sexual innuendo, or verbal comments
02 [ ] Persuasion or talked into sexual activity
03 [x] Surprised the victim with unwanted touching, grabbing or groping, or victim was asleep
04 [x] Bribery or blackmail
05 [ ] Gave victim drugs or alcohol
06 [ ] Offered protection from other inmates
07 [ ] Threatened with physical harm
08 [ ] Physically held victim down or restrained in some way
09 [ ] Physically harmed or injured
10 [ ] Threatened with a weapon
11 [ ] Other – Specify

27. What sanction was imposed on the perpetrator(s)? (Mark [x] all that apply for all perpetrators.)

- Placed in solitary confinement or disciplinary segregation
- Confined to own cell or room
- Placed in higher custody level, restricted unit or program, within the same facility
- Transferred to other unit/cell or separated from victim
- Transferred to another facility
- Loss of "good/gain" time, increase in "bad" time or delayed release
- Given extra work
- Loss of privileges, disciplinary report or conduct violation, or other reprimand
- Sent to counseling or treatment team
- Arrested or referred to law enforcement agency
- Referred for prosecution or indicted
- Convicted, given new sentence, or fined

01 [x] Placed in solitary confinement or disciplinary segregation
02 [ ] Confined to own cell or room
03 [ ] Placed in higher custody level, restricted unit or program, within the same facility
04 [x] Transferred to other unit/cell or separated from victim
05 [ ] Transferred to another facility
06 [ ] Loss of "good/gain" time, increase in "bad" time or delayed release
07 [ ] Given extra work
08 [x] Loss of privileges, disciplinary report or conduct violation, or other reprimand
09 [ ] Sent to counseling or treatment team
10 [x] Arrested or referred to law enforcement agency
11 [ ] Referred for prosecution or indicted
12 [ ] Convicted, given new sentence, or fined
13 [ ] Other – Specify

None [x]
### 28. What was the nature of the incident?

(Mark [X] all that apply.)

- [ ] Physical force resulting in a nonconsensual sexual act
- [ ] Pressure or abuse of power resulting in a nonconsensual sexual act
- [ ] Indecent exposure, invasion of privacy, or voyeurism for sexual gratification
- [ ] Unwanted touching for sexual gratification
- [ ] Sexual harassment or repeated verbal statements of a sexual nature by staff
- [ ] Wrote letters, showed pictures, or offered gifts or special privileges to inmate
- [ ] Sexual relationship between inmate and staff that appeared to be willing
- [ ] Other – Specify [X]

- [ ] Level of coercion unknown

### 29. How many staff were involved in the incident?

Number of staff...

* If more than two staff were involved, report their characteristics in Notes on page 5.

### 30. Staff #1: What was the gender of the staff?

- [ ] Male
- [ ] Female

### 31. Staff #1: What was the age of the staff at the time of the incident?

- [ ] 24 or younger
- [ ] 25 – 29
- [ ] 30 – 34
- [ ] 35 – 39

### 32. Staff #1: What was the race/ethnic origin of the staff involved in the incident?

(Mark [X] all that apply.)

- [ ] White (not of Hispanic origin)
- [ ] Black (not of Hispanic origin)
- [ ] Hispanic or Latino
- [ ] American Indian/Alaska Native (not of Hispanic origin)
- [ ] Asian (not of Hispanic origin)
- [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
- [ ] Other racial category in your information system – Specify [X]

### 33. Staff #2: What was the gender of the staff?

- [ ] Male
- [ ] Female

### 34. Staff #2: What was the age of the staff at the time of the incident?

- [ ] 24 or younger
- [ ] 25 – 29
- [ ] 30 – 34
- [ ] 35 – 39
- [ ] 40 – 44
- [ ] 45 – 54
- [ ] 55 or older

### 35. Staff #2: What was the race/ethnic origin of the staff involved in the incident?

(Mark [X] all that apply.)

- [ ] White (not of Hispanic origin)
- [ ] Black (not of Hispanic origin)
- [ ] Hispanic or Latino
- [ ] American Indian/Alaska Native (not of Hispanic origin)
- [ ] Asian (not of Hispanic origin)
- [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
- [ ] Other racial category in your information system – Specify [X]

### 36. Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer?

(Mark [X] all that apply for all staff involved.)

- [ ] Full- or part-time paid employee
- [ ] Contract employee or vendor
- [ ] Volunteer or intern
- [ ] Other – Specify [X]

### 37. What was the primary position description of the staff involved in the incident?

(Mark [X] all that apply for all staff involved.)

- [ ] Administrator, including wardens, superintendents, assistants, and others in administrative positions
- [ ] Correctional officer or supervisory staff
- [ ] Clerical staff including secretaries, clerks, receptionists, and other administrative support
- [ ] Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
- [ ] Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
- [ ] Education staff, including instructors, teachers, librarians, and education assistants
- [ ] Other program staff
- [ ] Volunteers or interns
- [ ] Other staff – Specify [X]
38. **What sanction was imposed on the staff?**
   (Mark [✓] all that apply for all staff involved.)
   - [ ] Sent to training or counseling
   - [ ] Reprimanded or disciplined
   - [ ] Demoted, diminished responsibilities, or suspended temporarily
   - [ ] Transferred to another facility or unit
   - [ ] Arrested or referred to law enforcement agency
   - [ ] Referred for prosecution or indicted
   - [ ] Convicted, plead guilty, sentenced, or fined
   - [ ] Discharged, terminated, or contract not renewed
   - [ ] Staff resigned (prior to completion of investigation)
   - [ ] Staff resigned (after investigation was completed)
   - [ ] Other — Specify ☐
   - [ ] No action taken

39. **At the time of the incident, how long had the staff worked at the facility?**
   (Mark [✓] all that apply for all staff involved.)
   - [ ] Less than 6 months
   - [ ] 6 months to 1 year
   - [ ] 1 to 5 years
   - [ ] 5 to 10 years
   - [ ] More than 10 years

### Definitions

**Sexual victimization**

**NONCONSENSUAL SEXUAL ACTS:** Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Contact between the penis and the vulva or the penis and the anus including penetration, however slight; OR
Contact between the mouth and the penis, vulva, or anus;

OR

Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument.

**ABUSIVE SEXUAL CONTACT (less severe):** Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.

EXCLUDE incidents in which the contact was incidental to a physical altercation.

**SEXUAL HARASSMENT BY ANOTHER INMATE:** Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one inmate directed toward another.

**STAFF SEXUAL MISCONDUCT:** Any behavior or act of a sexual nature directed toward an inmate by an employee, volunteer, contractor, official visitor or other agency representative (exclude family, friends or other visitors).

Sexual relationships of a romantic nature between staff and inmates are included in this definition. Consensual or nonconsensual sexual acts include—

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks that is unrelated to official duties or with the intent to abuse, arouse, or gratify sexual desire;

OR

Completed, attempted, threatened, or requested sexual acts;

OR

Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for reasons unrelated to official duties or for sexual gratification.

**STAFF SEXUAL HARASSMENT:** Repeated verbal comments or gestures of a sexual nature to an inmate by an employee, volunteer, contractor, official visitor, or other agency representative (excludes family, friends, or other visitors). Include demeaning references to gender; or sexually suggestive or derogatory comments about body or clothing;

OR

Repeated profane or obscene language or gestures.

**Gender categories**

**TRANSGENDER:** A person whose gender identity (i.e., internal sense of feeling male or female) is different from the person's assigned sex at birth.

**INTERSEX:** A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as disorders of sex development.
1. On what date did the incident occur?
(If more than one date, report the most recent.)
Month Day Year
06 21 92014 (date of report 7/19)

2. In what facility did the incident occur?
Name
City/Place
Charlottesville

3. Where did the incident occur? (Mark [X] all that apply.)

01 [ ] In the victim's cell or room (e.g., if the victim and perpetrator share a cell or room, count as the victim's cell)
02 [X] In the perpetrator's cell or room
03 [ ] In a dormitory or other multiple housing unit
04 [X] In a common area (e.g., shower, dayroom, bathroom)
05 [ ] In a temporary holding cell or intake area within the facility
06 [ ] In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway)
07 [ ] In an instructional area (e.g., classroom, school, library, conference room)
08 [ ] In a recreation area (e.g., yard, courtyard, gymnasium)
09 [ ] In a medical area (e.g., infirmary, health clinic)
10 [ ] In a staff area (e.g., office, break room, counselor's office)
11 [ ] Offsite or while in transit
12 [ ] Other - Specify

13 [ ] Location unknown

4. Did the incident take place in an area subject to video monitoring?
01 [ ] Yes
02 [X] No
03 [ ] Don't know

5. What time did the incident occur? (Mark [X] all that apply.)
01 [ ] Morning (6 a.m. to noon)
02 [ ] Afternoon (noon to 6 p.m.)
03 [ ] Evening (6 p.m. to midnight)
04 [ ] Overnight (midnight to 6 a.m.)
05 [X] Time unknown

6. How many victims were involved in the incident?
Number of victims .

If more than two victims were involved, report their characteristics in Notes on page 5.

7. Victim #1: What was the victim's gender?
(See definitions on page 5.)
01 [ ] Male
02 [X] Female
03 [ ] Transgender
04 [ ] Intersex

8. Victim #1: What was the victim's age at the time of the incident?
01 [ ] Under age 18
02 [ ] 18 - 24
03 [X] 25 - 29
04 [ ] 30 - 34
05 [ ] 35 - 39
06 [ ] 40 - 44
07 [ ] 45 - 54
08 [ ] 55 or older

9. Victim #1: What was the victim's race/ethnic origin?
(See definitions on page 5.)
01 [ ] White (not of Hispanic origin)
02 [X] Black (not of Hispanic origin)
03 [ ] Hispanic or Latino
04 [ ] American Indian/Alaska Native (not of Hispanic origin)
05 [ ] Asian (not of Hispanic origin)
06 [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 [ ] Other racial category in your information system - Specify

10. Victim #2: What was the victim's gender?
01 [ ] Male
02 [ ] Female
03 [ ] Transgender
04 [ ] Intersex

11. Victim #2: What was the victim's age at the time of the incident?
01 [ ] Under age 18
02 [ ] 18 - 24
03 [ ] 25 - 29
04 [ ] 30 - 34
05 [ ] 35 - 39
06 [ ] 40 - 44
07 [ ] 45 - 54
08 [ ] 55 or older

Burden Statement
Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.
12. Victim #2: What was the victim’s race/ethnic origin? (Mark [X] all that apply.)
01 ☐ White (not of Hispanic origin)
02 ☐ Black (not of Hispanic origin)
03 ☐ Hispanic or Latino
04 ☐ American Indian/Alaska Native (not of Hispanic origin)
05 ☐ Asian (not of Hispanic origin)
06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 ☐ Other racial category in your information system – Specify ☑

13. Did the victim(s) sustain any physical injury during the incident?
02 ☐ No (No injury sustained)
01 ☐ Yes → a. What injuries occurred? (Mark [X] all that apply for all victims.)
01 ☐ Knife or stab wounds
02 ☐ Broken bones
03 ☐ Anal or vaginal tearing
04 ☐ Chipped or knocked out teeth
05 ☐ Internal injuries
06 ☐ Knocked unconscious
07 ☐ Bruises, black eye, sprains, cuts, scratches, swelling, welts
08 ☐ Other – Specify ☑

→ b. Did the victim(s) receive medical treatment for these injuries?
01 ☐ Yes
02 ☐ No

14. Who reported the incident? (Mark [X] all that apply.)
01 ☐ Victim
02 ☐ Another inmate (non-victim)
03 ☐ Victim’s family or friend
04 ☐ Corrective officer or front line staff
05 ☐ Administrative staff
06 ☐ Medical, healthcare, or mental health staff
07 ☐ Instructor, teacher, or counselor
08 ☐ Other staff (e.g., kitchen worker, maintenance staff)
09 ☐ Chaplain or other religion official
10 ☐ Perpetrator
11 ☐ Perpetrator’s family or friend
12 ☐ Grievance coordinator, grievance process, or ombudsman
13 ☐ Attorney or legal guardian (e.g., other than family member)
14 ☐ Confidential informant, anonymous tip, hotline, or through monitoring (e.g., camera, telephone, or mail)
15 ☐ Other – Specify ☑

15. After the incident was reported, was the victim(s) – (Mark [X] all that apply for all victims.)
01 ☐ Given a medical examination
02 ☐ Administered a rape kit
03 ☐ Tested for HIV/AIDS
04 ☐ Tested for other sexually transmitted diseases
05 ☐ Provided with counseling or mental health treatment
06 ☐ Offered but declined testing or treatment
07 ☐ Already released/discharged
08 ☐ None of the above

16. After the incident was reported, was the victim(s) – (Mark [X] all that apply for all victims.)
01 ☐ Placed in or returned to administrative segregation, protective custody, or disciplinary segregation
02 ☐ Placed in a medical unit, ward, or hospital
03 ☐ Confined to own cell or room
04 ☐ Given a higher custody level or different unit within the facility
05 ☐ Transferred to another facility
06 ☐ Transferred to another housing unit or dorm, or given a single room or cell
07 ☐ Separated from perpetrator
08 ☐ Issued disciplinary report or loss of privileges
09 ☐ Placed in camera room, under closer surveillance, or increased supervision
10 ☐ Other – Specify ☑

11 ☐ None of the above

17. What type of sexual violence was involved in the incident? (See definitions on page 5.)
01 ☐ Inmate-on-inmate nonconsensual sexual act → Complete Section A
02 ☐ Inmate-on-inmate abusive sexual contact → Complete Section A
03 ☐ Inmate-on-inmate sexual harassment → Complete Section A
04 ☐ Staff sexual misconduct → Complete Section B
05 ☐ Staff sexual harassment → Complete Section B

Section A – INMATE-ON-INMATE SEXUAL VICTIMIZATION

18. How many perpetrators were involved in the incident?

Number of perpetrators ... 4

→ If more than two perpetrators were involved, report their characteristics in Notes on page 5.
19. Perpetrator #1: What was the perpetrator’s gender? (See definitions on page 5.)
   □  Male  □  Transgender
   □  Female  □  Intersex

20. Perpetrator #1: What was the perpetrator’s age at the time of the incident?
   □  Under age 18  □  18–24  □  25–29
   □  30–34  □  35–39  □  40–44
   □  45–54  □  55 or older

21. Perpetrator #1: What was the perpetrator’s race/ethnic origin? (Mark all that apply.)
   □  White (not of Hispanic origin)
   □  Black (not of Hispanic origin)
   □  Hispanic or Latino
   □  American Indian/Alaska Native (not of Hispanic origin)
   □  Asian (not of Hispanic origin)
   □  Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   □  Other racial category in your information system - Specify

22. Perpetrator #2: What was the perpetrator’s gender? (See definitions on page 5.)
   □  Male  □  Transgender
   □  Female  □  Intersex

23. Perpetrator #2: What was the perpetrator’s age at the time of the incident?
   □  Under age 18  □  18–24  □  25–29
   □  30–34  □  35–39  □  40–44
   □  45–54  □  55 or older

24. Perpetrator #2: What was the perpetrator’s race/ethnic origin? (Mark all that apply.)
   □  White (not of Hispanic origin)
   □  Black (not of Hispanic origin)
   □  Hispanic or Latino
   □  American Indian/Alaska Native (not of Hispanic origin)
   □  Asian (not of Hispanic origin)
   □  Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   □  Other racial category in your information system - Specify

25. What was the nature of the incident? (Mark all that apply.)
   □  Voluntary sexual contact between inmates
   □  Sexual harassment
   □  Indecent exposure, masturbation, or voyeurism
   □  Horseplay
   □  Repeated and unwelcome sexual advances or requests for sexual favors
   □  Unwanted touching for sexual gratification or abusive sexual contact
   □  Pressure or coercion (without force) resulting in a nonconsensual sexual act
   □  Physical force (or the threat of force) resulting in a nonconsensual sexual act
   □  Other - Specify

26. What type of pressure or physical force was used by the perpetrator on the victim? (Mark all that apply for all perpetrators.)
   □  Persuasion or talked into sexual activity
   □  Surprised the victim with unwanted touching, grabbing or groping, or victim was asleep
   □  Bribery or blackmail
   □  Gave victim drugs or alcohol
   □  Offered protection from other inmates
   □  Threatened with physical harm
   □  Physically held victim down or restrained in some way
   □  Physically harmed or injured
   □  Threatened with a weapon
   □  Other - Specify

27. What sanction was imposed on the perpetrator(s)? (Mark all that apply for all perpetrators.)
   □  Placed in solitary confinement or disciplinary segregation
   □  Confined to own cell or room
   □  Placed in higher custody level, restricted unit or program, within the same facility
   □  Transferred to other unit/cell or separated from victim
   □  Transferred to another facility
   □  Loss of "good/gain" time, increase in "bad" time or delayed release
   □  Given extra work
   □  Loss of privileges, disciplinary report or conduct violation, or other reprimand
   □  Sent to counseling or treatment team
   □  Arrested or referred to law enforcement agency
   □  Referred for prosecution or indicted
   □  Convicted, given new sentence, or fined
   □  Other - Specify
33. Staff #2: What was the gender of the staff?
   01 □ Male  02 □ Female

34. Staff #2: What was the age of the staff at the time of the incident?
   01 □ 24 or younger  05 □ 40 - 44
   02 □ 25 - 29  06 □ 45 - 54
   03 □ 30 - 34  07 □ 55 or older
   04 □ 35 - 39

35. Staff #2: What was the race/ethnic origin of the staff involved in the incident?
   (Mark [X] all that apply.)
   01 □ White (not of Hispanic origin)
   02 □ Black (not of Hispanic origin)
   03 □ Hispanic or Latino
   04 □ American Indian/Alaska Native (not of Hispanic origin)
   05 □ Asian (not of Hispanic origin)
   06 □ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   07 □ Other racial category in your information system - Specify

36. Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer?
   (Mark [X] all that apply for all staff involved.)
   01 □ Full- or part-time paid employee
   02 □ Contract employee or vendor
   03 □ Volunteer or intern
   04 □ Other - Specify

37. What was the primary position description of the staff involved in the incident?
   (Mark [X] all that apply for all staff involved.)
   01 □ Administrator, including wardens, superintendents, assistants and others in administrative positions
   02 □ Correctional officer or supervisory staff
   03 □ Clerical staff including secretaries, clerks, receptionists, and other administrative support
   04 □ Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
   05 □ Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
   06 □ Education staff, including instructors, teachers, librarians, and education assistants
   07 □ Other program staff
   08 □ Volunteers or interns
   09 □ Other staff - Specify
38. What sanction was imposed on the staff?
(Mark all that apply for all staff involved.)
- Sent to training or counseling
- Reprimanded or disciplined
- Demoted, diminished responsibilities, or suspended temporarily
- Transferred to another facility or unit
- Arrested or referred to law enforcement agency
- Referred for prosecution or indictment
- Convicted, plead guilty, sentenced, or fined
- Discharged, terminated, or contract not renewed
- Staff resigned (prior to completion of investigation)
- Staff resigned (after investigation was completed)
- No action taken
- Other - Specify

39. At the time of the incident, how long had the staff worked at the facility?
(Mark all that apply for all staff involved.)
- Less than 6 months
- 6 months to 1 year
- 1 to 5 years
- 5 to 10 years
- More than 10 years

NOTES

Sexual victimization

NONCONSENSUAL SEXUAL ACTS: Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Contact between the penis and the vulva or the penis and the anus including penetration, however slight; OR
Contact between the mouth and the penis, vulva, or anus;

OR

Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument.

ABUSIVE SEXUAL CONTACT (less severe): Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.

EXCLUDE incidents in which the contact was incidental to a physical altercation.

SEXUAL HARASSMENT BY ANOTHER INMATE: Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one inmate directed toward another.

STAFF SEXUAL MISCONDUCT: Any behavior or act of a sexual nature directed toward an inmate by an employee, volunteer, contractor, official visitor or other agency representative (exclude family, friends or other visitors).

Sexual relationships of a romantic nature between staff and inmates are included in this definition. Consensual or nonconsensual sexual acts include—

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks that is unrelated to official duties or with the intent to abuse, arouse, or gratify sexual desire;

OR

Completed, attempted, threatened, or requested sexual acts;

OR

Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for reasons unrelated to official duties or for sexual gratification.

STAFF SEXUAL HARASSMENT: Repeated verbal comments or gestures of a sexual nature to an inmate by an employee, volunteer, contractor, official visitor, or other agency representative (excludes family, friends, or other visitors). Include demeaning references to gender; or sexually suggestive or derogatory comments about body or clothing;

OR

Repeated profane or obscene language or gestures.

Gender categories

TRANSGENDER: A person whose gender identity (i.e., internal sense of feeling male or female) is different from the person’s assigned sex at birth.

INTERSEX: A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as disorders of sex development.
1. On what date did the incident occur? (If more than one date, report the most recent.)
   Month Day Year
   11 2 2014

2. In what facility did the incident occur?
   Name
   City/Place
   Charlottesville

3. Where did the incident occur? (Mark [X] all that apply.)
   01 [X] In the victim's cell or room (e.g., if the victim and perpetrator
   share a cell or room, count as the victim's cell)
   02 [X] In the perpetrator's cell or room
   03 [X] In a dormitory or other multiple housing unit
   04 [X] In a common area (e.g., shower, dayroom, bathroom)
   05 [X] In a temporary holding cell or intake area within the facility
   06 [X] In a program service area (e.g., commissary, kitchen,
   storage, laundry, cafeteria, workshop, hallway)
   07 [X] In an instructional area (e.g., classroom, school, library,
   conference room)
   08 [X] In a recreation area (e.g., yard, courtyard, gymnasium)
   09 [X] In a medical area (e.g., infirmary, health clinic)
   10 [X] In a staff area (e.g., office, break room, counselor's office)
   11 [X] Offsite or while in transit
   12 [X] Other - Specify
   13 [ ] Location unknown

4. Did the incident take place in an area subject to video monitoring?
   01 [X] Yes
   02 [ ] No
   03 [ ] Don't know

5. What time did the incident occur? (Mark [X] all that apply.)
   01 [X] Morning (6 a.m. to noon)
   02 [ ] Afternoon (noon to 6 p.m.)
   03 [ ] Evening (6 p.m. to midnight)
   04 [ ] Overnight (midnight to 6 a.m.)
   05 [X] Time unknown

6. How many victims were involved in the incident?
   Number of victims...
   If more than two victims were involved,
   report their characteristics in Notes on page 5.

7. Victim #1: What was the victim's gender?
   (See definitions on page 5.)
   01 [X] Male
   02 [ ] Female
   03 [ ] Transgender
   04 [ ] Intersex

8. Victim #1: What was the victim's age at the time of the incident?
   01 [ ] Under age 18
   02 [ ] 18 - 24
   03 [ ] 25 - 29
   04 [ ] 30 - 34
   05 [X] 35 - 39
   06 [ ] 40 - 44
   07 [ ] 45 - 54
   08 [ ] 55 or older

9. Victim #1: What was the victim's race/ethnic origin?
   (Mark [X] all that apply.)
   01 [ ] White (not of Hispanic origin)
   02 [X] Black (not of Hispanic origin)
   03 [ ] Hispanic or Latino
   04 [ ] American Indian/Alaska Native (not of Hispanic origin)
   05 [ ] Asian (not of Hispanic origin)
   06 [ ] Native Hawaiian or Other Pacific Islander
   (not of Hispanic origin)
   07 [ ] Other racial category in your information system - Specify

10. Victim #2: What was the victim's gender?
    (See definitions on page 5.)
    01 [ ] Male
    02 [ ] Female
    03 [ ] Transgender
    04 [ ] Intersex

11. Victim #2: What was the victim's age at the time of the incident?
    01 [ ] Under age 18
    02 [ ] 18 - 24
    03 [ ] 25 - 29
    04 [ ] 30 - 34
    05 [X] 35 - 39
    06 [ ] 40 - 44
    07 [ ] 45 - 54
    08 [ ] 55 or older

Burden Statement
Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.
12. Victim #2: What was the victim’s race/ethnic origin? (Mark [X] all that apply.)
01 [X] White (not of Hispanic origin)
02 [ ] Black (not of Hispanic origin)
03 [ ] Hispanic or Latino
04 [ ] American Indian/Alaska Native (not of Hispanic origin)
05 [ ] Asian (not of Hispanic origin)
06 [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 [ ] Other – Specify

13. Did the victim(s) sustain any physical injury during the incident?
02 [X] No (No injury sustained)
01 [ ] Yes → a. What injuries occurred? (Mark [X] all that apply for all victims.)
01 [ ] Knife or stab wounds
02 [ ] Broken bones
03 [ ] Anal or vaginal tearing
04 [ ] Chipped or knocked out teeth
05 [ ] Internal injuries
06 [ ] Knocked unconscious
07 [ ] Bruises, black eye, sprains, cuts, scratches, swelling, welts
08 [ ] Other – Specify

→ b. Did the victim(s) receive medical treatment for these injuries?
01 [ ] Yes
02 [ ] No

14. Who reported the incident? (Mark [X] all that apply.)
01 [X] Victim
02 [ ] Another inmate (non-victim)
03 [ ] Victim’s family or friend
04 [ ] Correctional officer or front line staff
05 [ ] Administrative staff
06 [ ] Medical, healthcare, or mental health staff
07 [ ] Instructor, teacher, or counselor
08 [ ] Other staff (e.g., kitchen worker, maintenance staff)
09 [ ] Chaplain or other religion official
10 [ ] Perpetrator
11 [ ] Perpetrator’s family or friend
12 [ ] Grievance coordinator, grievance process, or ombudsperson
13 [ ] Attorney or legal guardian (e.g., other than family member)
14 [ ] Confidential informant, anonymous tip, hot line, or through monitoring (e.g., camera, telephone, or mail)
15 [ ] Other – Specify

15. After the incident was reported, was the victim(s) – (Mark [X] all that apply for all victims.)
01 [ ] Given a medical examination
02 [ ] Administered a rape kit
03 [ ] Tested for HIV/AIDS
04 [ ] Tested for other sexually transmitted diseases
05 [ ] Provided with counseling or mental health treatment
06 [ ] Offered but declined testing or treatment
07 [ ] Already released/discharged
08 [X] None of the above

16. After the incident was reported, was the victim(s) – (Mark [X] all that apply for all victims.)
01 [ ] Placed in or returned to administrative segregation, protective custody, or disciplinary segregation
02 [ ] Placed in a medical unit, ward, or hospital
03 [ ] Confined to own cell or room
04 [ ] Given a higher custody level or different unit within the facility
05 [ ] Transferred to another facility
06 [ ] Transferred to another housing unit or dorm, or given a single room or cell
07 [X] Separated from perpetrator
08 [ ] Issued disciplinary report or loss of privileges
09 [ ] Placed in camera room, under closer surveillance, or increased supervision
10 [ ] Other – Specify

11 [ ] None of the above

17. What type of sexual violence was involved in the incident? (See definitions on page 5.)
01 [ ] Inmate-on-inmate nonconsensual sexual act → Complete Section A
02 [ ] Inmate-on-inmate abusive sexual contact → Complete Section A
03 [ ] Inmate-on-inmate sexual harassment → Complete Section A
04 [X] Staff sexual misconduct → Complete Section B
05 [X] Staff sexual harassment → Complete Section B

Section A – INMATE-ON-INMATE SEXUAL VICTIMIZATION

18. How many perpetrators were involved in the incident?
Number of perpetrators...

→ If more than two perpetrators were involved, report their characteristics in Notes on page 5.
19. Perpetrator #1: What was the perpetrator's gender? (See definitions on page 5.)

<table>
<thead>
<tr>
<th></th>
<th>Male</th>
<th>Transgender</th>
<th></th>
<th>Female</th>
<th>Intersex</th>
</tr>
</thead>
<tbody>
<tr>
<td>01</td>
<td></td>
<td></td>
<td>03</td>
<td></td>
<td></td>
</tr>
<tr>
<td>02</td>
<td></td>
<td></td>
<td>04</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

20. Perpetrator #1: What was the perpetrator's age at the time of the incident?

<table>
<thead>
<tr>
<th></th>
<th>Under age 18</th>
<th>18-24</th>
<th>25-29</th>
</tr>
</thead>
<tbody>
<tr>
<td>01</td>
<td>04</td>
<td>05</td>
<td>06</td>
</tr>
<tr>
<td>03</td>
<td>04</td>
<td>05</td>
<td>06</td>
</tr>
<tr>
<td>07</td>
<td>04</td>
<td>05</td>
<td>06</td>
</tr>
</tbody>
</table>

21. Perpetrator #1: What was the perpetrator's race/ethnic origin? (Mark [X] all that apply.)

<table>
<thead>
<tr>
<th></th>
<th>White (not of Hispanic origin)</th>
<th>Black (not of Hispanic origin)</th>
<th>Hispanic or Latino</th>
<th>American Indian/Alaska Native (not of Hispanic origin)</th>
<th>Asian (not of Hispanic origin)</th>
<th>Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)</th>
<th>Other racial category in your information system - Specify [ ]</th>
</tr>
</thead>
<tbody>
<tr>
<td>01</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>02</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>03</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>04</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>05</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>06</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

22. Perpetrator #2: What was the perpetrator's gender? (See definitions on page 5.)

<table>
<thead>
<tr>
<th></th>
<th>Male</th>
<th>Transgender</th>
<th></th>
<th>Female</th>
<th>Intersex</th>
</tr>
</thead>
<tbody>
<tr>
<td>01</td>
<td></td>
<td></td>
<td>03</td>
<td></td>
<td></td>
</tr>
<tr>
<td>02</td>
<td></td>
<td></td>
<td>04</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

23. Perpetrator #2: What was the perpetrator's age at the time of the incident?

<table>
<thead>
<tr>
<th></th>
<th>Under age 18</th>
<th>18-24</th>
<th>25-29</th>
</tr>
</thead>
<tbody>
<tr>
<td>01</td>
<td>04</td>
<td>05</td>
<td>06</td>
</tr>
<tr>
<td>03</td>
<td>04</td>
<td>05</td>
<td>06</td>
</tr>
<tr>
<td>07</td>
<td>04</td>
<td>05</td>
<td>06</td>
</tr>
</tbody>
</table>

24. Perpetrator #2: What was the perpetrator's race/ethnic origin? (Mark [X] all that apply.)

<table>
<thead>
<tr>
<th></th>
<th>White (not of Hispanic origin)</th>
<th>Black (not of Hispanic origin)</th>
<th>Hispanic or Latino</th>
<th>American Indian/Alaska Native (not of Hispanic origin)</th>
<th>Asian (not of Hispanic origin)</th>
<th>Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)</th>
<th>Other racial category in your information system - Specify [ ]</th>
</tr>
</thead>
<tbody>
<tr>
<td>01</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>02</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>03</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>04</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>05</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>06</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

25. What was the nature of the incident? (Mark [X] all that apply.)

<table>
<thead>
<tr>
<th></th>
<th>Voluntary sexual contact between inmates</th>
<th>Sexual harassment</th>
<th>Indecent exposure, masturbation, or voyeurism</th>
<th>Horseplay</th>
<th>Repeated and unwelcome sexual advances or requests for sexual favors</th>
<th>Unwanted touching for sexual gratification or abusive sexual contact</th>
<th>Pressure or coercion (without force) resulting in a nonconsensual sexual act</th>
<th>Physical force (or the threat of force) resulting in a nonconsensual sexual act</th>
<th>Other - Specify [ ]</th>
</tr>
</thead>
<tbody>
<tr>
<td>01</td>
<td></td>
<td></td>
<td></td>
<td>02</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>03</td>
<td></td>
<td></td>
<td></td>
<td>04</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>05</td>
<td></td>
<td></td>
<td></td>
<td>06</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>07</td>
<td></td>
<td></td>
<td></td>
<td>08</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

26. What type of pressure or physical force was used by the perpetrator on the victim? (Mark [X] all that apply for all perpetrators.)

<table>
<thead>
<tr>
<th></th>
<th>Persecution or talked into sexual activity</th>
<th>Surprised the victim with unwanted touching, grabbing or groping, or victim was asleep</th>
<th>Bribery or blackmail</th>
<th>Gave victim drugs or alcohol</th>
<th>Offered protection from other inmates</th>
<th>Threatened with physical harm</th>
<th>Physically held victim down or restrained in some way</th>
<th>Physically harmed or injured</th>
<th>Threatened with a weapon</th>
<th>Other - Specify [ ]</th>
</tr>
</thead>
<tbody>
<tr>
<td>01</td>
<td></td>
<td></td>
<td>02</td>
<td></td>
<td>03</td>
<td></td>
<td></td>
<td>04</td>
<td>05</td>
<td></td>
</tr>
<tr>
<td>06</td>
<td></td>
<td></td>
<td>07</td>
<td></td>
<td>08</td>
<td></td>
<td></td>
<td>09</td>
<td>10</td>
<td></td>
</tr>
</tbody>
</table>

27. What sanction was imposed on the perpetrator(s)? (Mark [X] all that apply for all perpetrators.)

<table>
<thead>
<tr>
<th></th>
<th>Placed in solitary confinement or disciplinary segregation</th>
<th>Confined to own cell or room</th>
<th>Placed in higher custody level, restricted unit program, within the same facility</th>
<th>Transferred to other unit/cell or separated from victim</th>
<th>Transferred to another facility</th>
<th>Loss of &quot;good/gain&quot; time, increase in &quot;bad&quot; time or delayed release</th>
<th>Given extra work</th>
<th>Loss of privileges, disciplinary report or conduct violation, or other reprimand</th>
<th>Sent to counseling or treatment team</th>
<th>Arrested or referred to law enforcement agency</th>
<th>Referred for prosecution or indicted</th>
<th>Convicted, given new sentence, or fined</th>
<th>Other - Specify [ ]</th>
</tr>
</thead>
<tbody>
<tr>
<td>01</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>02</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>03</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>04</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>05</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>06</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>07</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>08</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>09</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>10</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>11</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>12</td>
<td>None</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

FORM SSV-IA (4-28-2015)
28. What was the nature of the incident?
(Mark [X] all that apply.)
01 ☐ Physical force resulting in a nonconsensual sexual act
02 ☐ Pressure or abuse of power resulting in a nonconsensual sexual act
03 ☑ Indecent exposure, invasion of privacy, or voyeurism for sexual gratification
04 ☐ Unwanted touching for sexual gratification
05 ☑ Sexual harassment or repeated verbal statements of a sexual nature by staff
06 ☐ Wrote letters, showed pictures, or offered gifts or special privileges to inmate
07 ☐ Sexual relationship between inmate and staff that appeared to be willing
08 ☐ Other – Specify □
09 ☐ Level of coercion unknown

29. How many staff were involved in the incident?
Number of staff … 4
→ If more than two staff were involved, report their characteristics in Notes on page 5.

30. Staff #1: What was the gender of the staff?
01 ☐ Male 02 ☐ Female

31. Staff #1: What was the age of the staff at the time of the incident?
01 ☐ 24 or younger 02 ☐ 40 – 44
02 ☑ 25 – 29 03 ☐ 45 – 54
03 ☐ 30 – 34 04 ☐ 55 or older
04 ☐ 35 – 39

32. Staff #1: What was the race/ethnic origin of the staff involved in the incident?
(Mark [X] all that apply.)
01 ☐ White (not of Hispanic origin)
02 ☑ Black (not of Hispanic origin)
03 ☐ Hispanic or Latino
04 ☐ American Indian/Alaska Native (not of Hispanic origin)
05 ☐ Asian (not of Hispanic origin)
06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 ☐ Other racial category in your information system – Specify □

33. Staff #2: What was the gender of the staff?
01 ☐ Male 02 ☐ Female

34. Staff #2: What was the age of the staff at the time of the incident?
01 ☐ 24 or younger 05 ☐ 40 – 44
02 ☐ 25 – 29 06 ☐ 45 – 54
03 ☐ 30 – 34 07 ☐ 55 or older
04 ☐ 35 – 39

35. Staff #2: What was the race/ethnic origin of the staff involved in the incident?
(Mark [X] all that apply.)
01 ☐ White (not of Hispanic origin)
02 ☐ Black (not of Hispanic origin)
03 ☐ Hispanic or Latino
04 ☐ American Indian/Alaska Native (not of Hispanic origin)
05 ☐ Asian (not of Hispanic origin)
06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 ☐ Other racial category in your information system – Specify □

36. Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer?
(Mark [X] all that apply for all staff involved.)
01 ☑ Full- or part-time paid employee
02 ☐ Contract employee or vendor
03 ☐ Volunteer or intern
04 ☐ Other – Specify □

37. What was the primary position description of the staff involved in the incident?
(Mark [X] all that apply for all staff involved.)
01 ☐ Administrator, including wardens, superintendents, assistants and others in administrative positions
02 ☑ Correctional officer or supervisory staff
03 ☐ Clerical staff including secretaries, clerks, receptionists, and other administrative support
04 ☐ Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
05 ☐ Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
06 ☐ Education staff, including instructors, teachers, librarians, and education assistants
07 ☐ Other program staff
08 ☐ Volunteers or interns
09 ☐ Other staff – Specify □
38. What sanction was imposed on the staff? (Mark [X] all that apply for all staff involved.)
- [ ] Sent to training or counseling
- [ ] Reprimanded or disciplined
- [ ] Demoted, diminished responsibilities, or suspended temporarily
- [ ] Transferred to another facility or unit
- [ ] Arrested or referred to law enforcement agency
- [ ] Referred for prosecution or indicted
- [ ] Convicted, plead guilty, sentenced, or fined
- [ ] Discharged, terminated, or contract not renewed
- [ ] Staff resigned (prior to completion of investigation)
- [ ] Staff resigned (after investigation was completed)
- [ ] Other – Specify [ ]

12 [X] No action taken

39. At the time of the incident, how long had the staff worked at the facility? (Mark [X] all that apply for all staff involved.)
- [ ] Less than 6 months
- [ ] 6 months to 1 year
- [X] 1 to 5 years
- [ ] 5 to 10 years
- [ ] More than 10 years

**NOTES**

**Definitions**

**Sexual victimization**

**NONCONSENSUAL SEXUAL ACTS**: Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

**AND**

Contact between the penis and the vulva or the penis and the anus including penetration, however slight; OR Contact between the mouth and the penis, vulva, or anus;

**OR**

Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument.

**ABUSIVE SEXUAL CONTACT (less severe)**: Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

**AND**

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.

EXCLUDE incidents in which the contact was incidental to a physical altercation.

**SEXUAL HARASSMENT BY ANOTHER INMATE**: Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one inmate directed toward another.

**STAFF SEXUAL MISCONDUCT**: Any behavior or act of a sexual nature directed toward an inmate by an employee, volunteer, contractor, official visitor or other agency representative (exclude family, friends or other visitors).

Sexual relationships of a romantic nature between staff and inmates are included in this definition. Consensual or nonconsensual sexual acts include—

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks that is unrelated to official duties or with the intent to abuse, arouse, or gratify sexual desire;

**OR**

Completed, attempted, threatened, or requested sexual acts;

**OR**

Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for reasons unrelated to official duties or for sexual gratification.

**STAFF SEXUAL HARASSMENT**: Repeated verbal comments or gestures of a sexual nature to an inmate by an employee, volunteer, contractor, official visitor, or other agency representative (excludes family, friends, or other visitors). Include demeaning references to gender; or sexually suggestive or derogatory comments about body or clothing;

**OR**

Repeated profane or obscene language or gestures.

**Gender categories**

**TRANSGENDER**: A person whose gender identity (i.e., internal sense of feeling male or female) is different from the person’s assigned sex at birth.

**INTERSEX**: A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as disorders of sex development.
1. On what date did the incident occur? (If more than one date, report the most recent.)
   Month Day Year
   3 0 2 1 4

2. In what facility did the incident occur?
   Name
   City/Place

3. Where did the incident occur? (Mark [X] all that apply.)
   01 [ ] In the victim's cell or room (e.g., if the victim and perpetrator share a cell or room, count as the victim's cell)
   02 [X] In the perpetrator's cell or room
   03 [ ] In a dormitory or other multiple housing unit
   04 [ ] In a common area (e.g., shower, dayroom, bathroom)
   05 [ ] In a temporary holding cell or intake area within the facility
   06 [ ] In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway)
   07 [ ] In an institutional area (e.g., classroom, school, library, conference room)
   08 [ ] In a recreation area (e.g., yard, courtyard, gymnasium)
   09 [ ] In a medical area (e.g., infirmary, health clinic)
   10 [ ] In a staff area (e.g., office, break room, counselor's office)
   11 [ ] Offsite or while in transit
   12 [ ] Other – Specify
   13 [ ] Location unknown

4. Did the incident take place in an area subject to video monitoring?
   01 [ ] Yes
   02 [X] No
   03 [ ] Don't know

5. What time did the incident occur? (Mark [X] all that apply.)
   01 [ ] Morning (6 a.m. to noon)
   02 [ ] Afternoon (noon to 6 p.m.)
   03 [ ] Evening (6 p.m. to midnight)
   04 [ ] Overnights (midnight to 6 a.m.)
   05 [X] Time unknown

6. How many victims were involved in the incident?
   Number of victims ...
   If more than two victims were involved, report their characteristics in Notes on page 5.

7. Victim #1: What was the victim's gender?
   (See definitions on page 5.)
   01 [X] Male
   02 [ ] Female
   03 [ ] Transgender
   04 [ ] Intersex

8. Victim #1: What was the victim's age at the time of the incident?
   01 [ ] Under age 18
   02 [ ] 18 – 24
   03 [ ] 25 – 29
   04 [ ] 30 – 34
   05 [ ] 35 – 39
   06 [ ] 40 – 44
   07 [X] 45 – 54
   08 [ ] 55 or older

9. Victim #1: What was the victim's race/ethnic origin?
   (Mark [X] all that apply.)
   01 [X] White (not of Hispanic origin)
   02 [ ] Black (not of Hispanic origin)
   03 [ ] Hispanic or Latino
   04 [ ] American Indian/Alaska Native (not of Hispanic origin)
   05 [ ] Asian (not of Hispanic origin)
   06 [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   07 [ ] Other racial category in your information system – Specify

10. Victim #2: What was the victim's gender?
    (See definitions on page 5.)
    01 [ ] Male
    02 [X] Female
    03 [ ] Transgender
    04 [ ] Intersex

11. Victim #2: What was the victim's age at the time of the incident?
    01 [ ] Under age 18
    02 [ ] 18 – 24
    03 [ ] 25 – 29
    04 [ ] 30 – 34
    05 [ ] 35 – 39
    06 [ ] 40 – 44
    07 [X] 45 – 54
    08 [ ] 55 or older

Burden Statement
Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.
12. Victim #2: What was the victim's race/ethnic origin? (Mark [X] all that apply.)
01 [ ] White (not of Hispanic origin)
02 [x] Black (not of Hispanic origin)
03 [ ] Hispanic or Latino
04 [ ] American Indian/Alaska Native (not of Hispanic origin)
05 [ ] Asian (not of Hispanic origin)
06 [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 [ ] Other racial category in your information system – Specify [ ]

13. Did the victim(s) sustain any physical injury during the incident?
02 [x] No (No injury sustained)
01 [ ] Yes → a. What injuries occurred? (Mark [X] all that apply for all victims.)
01 [ ] Knife or stab wounds
02 [ ] Broken bones
03 [ ] Anal or vaginal tearing
04 [ ] Chipped or knocked out teeth
05 [ ] Internal injuries
06 [ ] Knocked unconscious
07 [ ] Bruises, black eye, sprains, cuts, scratches, swelling, welts
08 [ ] Other – Specify [ ]

→ b. Did the victim(s) receive medical treatment for these injuries?
01 [ ] Yes
02 [x] No

14. Who reported the incident? (Mark [X] all that apply.)
01 [x] Victim
02 [ ] Another inmate (non-victim)
03 [ ] Victim's family or friend
04 [ ] Correctional officer or front line staff
05 [ ] Administrative staff
06 [ ] Medical, healthcare, or mental health staff
07 [ ] Instructor, teacher, or counselor
08 [ ] Other staff (e.g., kitchen worker, maintenance staff)
09 [ ] Chaplain or other religion official
10 [ ] Perpetrator
11 [ ] Perpetrator's family or friend
12 [ ] Grievance coordinator, grievance process, or ombudsperson
13 [ ] Attorney or legal guardian (e.g., other than family member)
14 [ ] Confidential informant, anonymous tip, hot line, or through monitoring (e.g., camera, telephone, or mail)
15 [ ] Other – Specify [ ]

15. After the incident was reported, was the victim(s) – (Mark [X] all that apply for all victims.)
01 [ ] Given a medical examination
02 [ ] Administered a rape kit
03 [x] Tested for HIV/AIDS
04 [ ] Tested for other sexually transmitted diseases
05 [ ] Provided with counseling or mental health treatment
06 [ ] Offered but declined testing or treatment
07 [ ] Already released/discharged
08 [ ] None of the above

16. After the incident was reported, was the victim(s) – (Mark [X] all that apply for all victims.)
01 [ ] Placed in or returned to administrative segregation, protective custody, or disciplinary segregation
02 [ ] Placed in a medical unit, ward, or hospital
03 [ ] Confined to own cell or room
04 [ ] Given a higher custody level or different unit within the facility
05 [ ] Transferred to another facility
06 [x] Transferred to another housing unit or dorm, or given a single room or cell
07 [ ] Separated from perpetrator
08 [ ] Issued disciplinary report or loss of privileges
09 [ ] Placed in camera room, under closer surveillance, or increased supervision
10 [ ] Other – Specify [ ]

11 [ ] None of the above

17. What type of sexual violence was involved in the incident? (See definitions on page 5.)
01 [ ] Inmate-on-inmate nonconsensual sexual act → Complete Section A
02 [x] Inmate-on-inmate abusive sexual contact → Complete Section A
03 [ ] Inmate-on-inmate sexual harassment → Complete Section A
04 [ ] Staff sexual misconduct → Complete Section B
05 [ ] Staff sexual harassment → Complete Section B

Section A – INMATE-ON-INMATE SEXUAL VICTIMIZATION

18. How many perpetrators were involved in the incident?

Number of perpetrators . . . 1

→ If more than two perpetrators were involved, report their characteristics in Notes on page 5.
19. Perpetrator #1: What was the perpetrator's gender? (See definitions on page 5.)
- 01 X Male
- 02 □ Female
- 03 □ Transgender
- 04 □ Intersex

20. Perpetrator #1: What was the perpetrator's age at the time of the incident?
- 01 □ Under age 18
- 02 □ 18-24
- 03 □ 25-29
- 04 □ 30-34
- 05 □ 35-39
- 06 □ 40-44
- 07 □ 45-54
- 08 □ 55 or older

21. Perpetrator #1: What was the perpetrator's race/ethnic origin? (Mark [X] all that apply.)
- 01 □ White (not of Hispanic origin)
- 02 X Black (not of Hispanic origin)
- 03 □ Hispanic or Latino
- 04 □ American Indian/Alaska Native (not of Hispanic origin)
- 05 □ Asian (not of Hispanic origin)
- 06 □ Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)
- 07 □ Other racial category in your information system – Specify

22. Perpetrator #2: What was the perpetrator's gender? (See definitions on page 5.)
- 01 □ Male
- 02 □ Female
- 03 □ Transgender
- 04 □ Intersex

23. Perpetrator #2: What was the perpetrator's age at the time of the incident?
- 01 □ Under age 18
- 02 □ 18-24
- 03 □ 25-29
- 04 □ 30-34
- 05 □ 35-39
- 06 □ 40-44
- 07 □ 45-54
- 08 □ 55 or older

24. Perpetrator #2: What was the perpetrator's race/ethnic origin? (Mark [X] all that apply.)
- 01 □ White (not of Hispanic origin)
- 02 □ Black (not of Hispanic origin)
- 03 □ Hispanic or Latino
- 04 □ American Indian/Alaska Native (not of Hispanic origin)
- 05 □ Asian (not of Hispanic origin)
- 06 □ Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)
- 07 □ Other racial category in your information system – Specify

25. What was the nature of the incident? (Mark [X] all that apply.)
- 01 □ Voluntary sexual contact between inmates
- 02 X Sexual harassment
- 03 □ Indecent exposure, masturbation, or voyeurism
- 04 □ Horseplay
- 05 □ Repeated and unwelcome sexual advances or requests for sexual favors
- 06 X Unwanted touching for sexual gratification or abusive sexual contact
- 07 □ Pressure or coercion (without force) resulting in a nonconsensual sexual act
- 08 X Physical force (or the threat of force) resulting in a nonconsensual sexual act
- 09 □ Other – Specify

26. What type of pressure or physical force was used by the perpetrator on the victim? (Mark [X] all that apply for all perpetrators.)
- 01 □ Sexual harassment, sexual innuendo, or verbal comments
- 02 □ Persuasion or talked into sexual activity
- 03 X Surprised the victim with unwanted touching, grabbing or groping, or victim was asleep
- 04 □ Bribery or blackmail
- 05 □ Gave victim drugs or alcohol
- 06 □ Offered protection from other inmates
- 07 □ Threatened with physical harm
- 08 X Physically held victim down or restrained in some way
- 09 □ Physically harmed or injured
- 10 □ Threatened with a weapon
- 11 □ Other – Specify
- 12 □ None

27. What sanction was imposed on the perpetrator(s)? (Mark [X] all that apply for all perpetrators.)
- 01 □ Placed in solitary confinement or disciplinary segregation
- 02 □ Confined to own cell or room
- 03 □ Placed in higher custody level, restricted unit or program, within the same facility
- 04 □ Transferred to other unit/cell or separated from victim
- 05 □ Transferred to another facility
- 06 □ Loss of "good/gain" time, increase in "bad" time or delayed release
- 07 □ Given extra work
- 08 □ Loss of privileges, disciplinary report or conduct violation, or other reprimand
- 09 □ Sent to counseling or treatment team
- 10 X Arrested or referred to law enforcement agency
- 11 □ Referred for prosecution or indicted
- 12 □ Convicted, given new sentence, or fined
- 13 □ Other – Specify

---

FORM SSY-A (4-22-2015)
28. What was the nature of the incident?  
(Mark [X] all that apply.)

01 □ Physical force resulting in a nonconsensual sexual act
02 □ Pressure or abuse of power resulting in a nonconsensual sexual act
03 □ Indecent exposure, invasion of privacy, or voyeurism for sexual gratification
04 □ Unwanted touching for sexual gratification
05 □ Sexual harassment or repeated sexual statements of a sexual nature by staff
06 □ Wrote letters, showed pictures, or offered gifts or special privileges to inmate
07 □ Sexual relationship between inmate and staff that appeared to be willing
08 □ Other - Specify □

09 □ Level of coercion unknown

29. How many staff were involved in the incident?

Number of staff... 

→ If more than two staff were involved, report their characteristics in Notes on page 5.

30. Staff #1: What was the gender of the staff?

01 □ Male 02 □ Female

31. Staff #1: What was the age of the staff at the time of the incident?

01 □ 24 or younger 05 □ 40 - 44
02 □ 25 - 29 06 □ 45 - 54
03 □ 30 - 34 07 □ 55 or older
04 □ 35 - 39

32. Staff #1: What was the race/ethnic origin of the staff involved in the incident?  
(Mark [X] all that apply.)

01 □ White (not of Hispanic origin)
02 □ Black (not of Hispanic origin)
03 □ Hispanic or Latino
04 □ American Indian/Alaska Native (not of Hispanic origin)
05 □ Asian (not of Hispanic origin)
06 □ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 □ Other racial category in your information system - Specify □

33. Staff #2: What was the gender of the staff?

01 □ Male 02 □ Female

34. Staff #2: What was the age of the staff at the time of the incident?

01 □ 24 or younger 05 □ 40 - 44
02 □ 25 - 29 06 □ 45 - 54
03 □ 30 - 34 07 □ 55 or older
04 □ 35 - 39

35. Staff #2: What was the race/ethnic origin of the staff involved in the incident?  
(Mark [X] all that apply.)

01 □ White (not of Hispanic origin)
02 □ Black (not of Hispanic origin)
03 □ Hispanic or Latino
04 □ American Indian/Alaska Native (not of Hispanic origin)
05 □ Asian (not of Hispanic origin)
06 □ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 □ Other racial category in your information system - Specify □

36. Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer?  
(Mark [X] all that apply for all staff involved.)

01 □ Full- or part-time paid employee
02 □ Contract employee or vendor
03 □ Volunteer or intern
04 □ Other - Specify □

37. What was the primary position description of the staff involved in the incident?  
(Mark [X] all that apply for all staff involved.)

01 □ Administrator, including wardens, superintendents, assistants and others in administrative positions
02 □ Correctional officer or supervisory staff
03 □ Clerical staff including secretaries, clerks, receptionists, and other administrative support
04 □ Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
05 □ Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
06 □ Education staff, including instructors, teachers, librarians, and education assistants
07 □ Other program staff
08 □ Volunteers or interns
09 □ Other staff - Specify □
38. What sanction was imposed on the staff? (Mark [X] all that apply for all staff involved.)

01 Sent to training or counseling
02 Reprimanded or disciplined
03 Demoted, diminished responsibilities, or suspended temporarily
04 Transferred to another facility or unit
05 Arrested or referred to law enforcement agency
06 Referred for prosecution or indicted
07 Convicted, plead guilty, sentenced, or fined
08 Discharged, terminated, or contract not renewed
09 Staff resigned (prior to completion of investigation)
10 Staff resigned (after investigation was completed)
11 Other – Specify

12 No action taken

39. At the time of the incident, how long had the staff worked at the facility? (Mark [X] all that apply for all staff involved.)

01 Less than 6 months
02 6 months to 1 year
03 1 to 5 years
04 5 to 10 years
05 More than 10 years

Definitions

Sexual victimization

**NONCONSENSUAL SEXUAL ACTS**: Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Contact between the penis and the vulva or the penis and the anus including penetration, however slight; OR
Contact between the mouth and the penis, vulva, or anus;

OR

Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument.

**ABUSIVE SEXUAL CONTACT (less severe)**: Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.

EXCLUDE incidents in which the contact was incidental to a physical altercation.

**SEXUAL HARASSMENT BY ANOTHER INMATE**: Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one inmate directed toward another.

**STAFF SEXUAL MISCONDUCT**: Any behavior or act of a sexual nature directed toward an inmate by an employee, volunteer, contractor, official visitor or other agency representative (exclude family, friends or other visitors).

Sexual relationships of a romantic nature between staff and inmates are included in this definition. Consensual or nonconsensual sexual acts include—

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks that is unrelated to official duties or with the intent to abuse, arouse, or gratify sexual desire;

OR

Completed, attempted, threatened, or requested sexual acts;

OR

Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for reasons unrelated to official duties or for sexual gratification.

**STAFF SEXUAL HARASSMENT**: Repeated verbal comments or gestures of a sexual nature to an inmate by an employee, volunteer, contractor, official visitor, or other agency representative (excludes family, friends, or other visitors). Include demeaning references to gender; or sexually suggestive or derogatory comments about body or clothing;

OR

Repeated profane or obscene language or gestures.

Gender categories

**TRANSGENDER**: A person whose gender identity (i.e., internal sense of feeling male or female) is different from the person’s assigned sex at birth.

**INTERSEX**: A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as disorders of sex development.
1. On what date did the incident occur?
   (If more than one date, report the most recent.)
   Month  Day  Year
   6  1  8  2  0  1  4

2. In what facility did the incident occur?
   Name
   Address
   City/Place
   Charleston

3. Where did the incident occur? (Mark [X] all that apply.)
   01 [x] In the victim's cell or room (e.g., if the victim and perpetrator
   share a cell or room, count as the victim's cell)
   02 [X] In the perpetrator's cell or room
   03 [X] In a dormitory or other multiple housing unit
   04 [X] In a common area (e.g., shower, dayroom, bathroom)
   05 [x] In a temporary holding cell or intake area within the facility
   06 [X] In a program service area (e.g., commissary, kitchen,
   storage, laundry, cafeteria, workshop, hallway)
   07 [X] In an instructional area (e.g., classroom, school, library, conference room)
   08 [x] In a recreation area (e.g., yard, courtyard, gymnasium)
   09 [x] In a medical area (e.g., infirmary, health clinic)
   10 [x] In a staff area (e.g., office, break room, counselor's office)
   11 [x] Offsite or while in transit
   12 [X] Other – Specify ___________
   13 [X] Location unknown

4. Did the incident take place in an area subject to video monitoring?
   01 [x] Yes
   02 [X] No
   03 [X] Don't know

5. What time did the incident occur? (Mark [X] all that apply.)
   01 [X] Morning (6 a.m. to noon)
   02 [X] Afternoon (noon to 6 p.m.)
   03 [X] Evening (6 p.m. to midnight)
   04 [X] Overnight (midnight to 6 a.m.)
   05 [X] Time unknown

6. How many victims were involved in the incident?
   Number of victims . 4
   → If more than two victims were involved, report their characteristics in Notes on page 5.

7. Victim #1: What was the victim's gender? (See definitions on page 5.)
   01 [ ] Male
   02 [X] Female
   03 [ ] Transgender
   04 [ ] Intersex

8. Victim #1: What was the victim's age at the time of the incident?
   01 [ ] Under age 18
   02 [X] 18 - 24
   03 [X] 25 - 29
   04 [ ] 30 - 34
   05 [ ] 35 - 39
   06 [ ] 40 - 44
   07 [ ] 45 - 54
   08 [X] 55 or older

9. Victim #1: What was the victim's race/ethnic origin? (Mark [X] all that apply.)
   01 [ ] White (not of Hispanic origin)
   02 [ ] Black (not of Hispanic origin)
   03 [X] Hispanic or Latino
   04 [ ] American Indian/Alaska Native (not of Hispanic origin)
   05 [ ] Asian (not of Hispanic origin)
   06 [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   07 [ ] Other racial category in your information system – Specify ___________

10. Victim #2: What was the victim's gender? (See definitions on page 5.)
    01 [ ] Male
    02 [X] Female
    03 [X] Transgender
    04 [X] Intersex

11. Victim #2: What was the victim's age at the time of the incident?
    01 [ ] Under age 18
    02 [X] 18 - 24
    03 [X] 25 - 29
    04 [X] 30 - 34
    05 [ ] 35 - 39
    06 [ ] 40 - 44
    07 [ ] 45 - 54
    08 [X] 55 or older

Burden Statement
Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.
12. Victim #2: What was the victim's race/ethnic origin?
   (Mark [X] all that apply.)
   01 ☐ White (not of Hispanic origin)
   02 ☐ Black (not of Hispanic origin)
   03 ☐ Hispanic or Latino
   04 ☐ American Indian/Alaska Native (not of Hispanic origin)
   05 ☐ Asian (not of Hispanic origin)
   06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   07 ☐ Other racial category in your information system – Specify X

13. Did the victim(s) sustain any physical injury during the incident?
   02 ☒ No (No injury sustained)
   01 ☐ Yes → a. What injuries occurred?
      (Mark [X] all that apply for all victims.)
      01 ☐ Knife or stab wounds
      02 ☐ Broken bones
      03 ☐ Anal or vaginal tearing
      04 ☐ Chipped or knocked out teeth
      05 ☐ Internal injuries
      06 ☐ Knocked unconscious
      07 ☐ Bruises, black eye, sprains, cuts, scratches, swelling, welts
      08 ☐ Other – Specify X

→ b. Did the victim(s) receive medical treatment for these injuries?
   01 ☐ Yes
   02 ☐ No

14. Who reported the incident?
   (Mark [X] all that apply.)
   01 ☒ Victim
   02 ☐ Another inmate (non-victim)
   03 ☐ Victim's family or friend
   04 ☐ Correctional officer or front line staff
   05 ☐ Administrative staff
   06 ☐ Medical, healthcare, or mental health staff
   07 ☐ Instructor, teacher, or counselor
   08 ☐ Other staff (e.g., kitchen worker, maintenance staff)
   09 ☐ Chaplain or other religion official
   10 ☐ Perpetrator
   11 ☐ Perpetrator's family or friend
   12 ☐ Grievance coordinator, grievance process, or ombudsperson
   13 ☐ Attorney or legal guardian (e.g., other than family member)
   14 ☐ Confidential informant, anonymous tip, hot line, or through monitoring (e.g., camera, telephone, or mail)
   15 ☐ Other – Specify X

15. After the incident was reported, was the victim(s) – (Mark [X] all that apply for all victims.)
   01 ☐ Given a medical examination
   02 ☐ Administered a rape kit
   03 ☐ Tested for HIV/AIDS
   04 ☐ Tested for other sexually transmitted diseases
   05 ☐ Provided with counseling or mental health treatment
   06 ☐ Offered but declined testing or treatment
   07 ☐ Already released/discharged
   08 ☒ None of the above

16. After the incident was reported, was the victim(s) – (Mark [X] all that apply for all victims.)
   01 ☐ Placed in or returned to administrative segregation, protective custody, or disciplinary segregation
   02 ☐ Placed in a medical unit, ward, or hospital
   03 ☐ Confined to own cell or room
   04 ☐ Given a higher custody level or different unit within the facility
   05 ☐ Transferred to another facility
   06 ☐ Transferred to another housing unit or dorm, or given a single room or cell
   07 ☒ Separated from perpetrator
   08 ☐ Issued disciplinary report or loss of privileges
   09 ☐ Placed in camera room, under closer surveillance, or increased supervision
   10 ☐ Other – Specify X

11 ☐ None of the above

17. What type of sexual violence was involved in the incident? (See definitions on page 5.)
   01 ☒ Inmate-on-inmate nonconsensual sexual act → Complete Section A
   02 ☐ Inmate-on-inmate abusive sexual contact → Complete Section A
   03 ☐ Inmate-on-inmate sexual harassment → Complete Section A
   04 ☐ Staff sexual misconduct → Complete Section B
   05 ☐ Staff sexual harassment → Complete Section B

Section A - INMATE-ON-INMATE SEXUAL VICTIMIZATION

18. How many perpetrators were involved in the incident?
    Number of perpetrators ______

→ If more than two perpetrators were involved, report their characteristics in Notes on page 5.
19. Perpetrator #1: What was the perpetrator's gender? (See definitions on page 5.)
   01 □ Male  
   02 □ Female  
   03 □ Transgender  
   04 □ Intersex

20. Perpetrator #1: What was the perpetrator’s age at the time of the incident?
   01 □ Under age 18  
   02 □ 18-24  
   03 □ 25-29  
   04 □ 30-34  
   05 □ 35-39  
   06 □ 40-44  
   07 □ 45-54  
   08 □ 55 or older

21. Perpetrator #1: What was the perpetrator's race/ethnic origin? (Mark [X] all that apply.)
   01 □ White (not of Hispanic origin)  
   02 □ Black (not of Hispanic origin)  
   03 □ Hispanic or Latino  
   04 □ American Indian/Alaska Native (not of Hispanic origin)  
   05 □ Asian (not of Hispanic origin)  
   06 □ Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)  
   07 □ Other racial category in your information system – Specify [X]  

22. Perpetrator #2: What was the perpetrator’s gender? (See definitions on page 5.)
   01 □ Male  
   02 □ Female  
   04 □ Intersex

23. Perpetrator #2: What was the perpetrator’s age at the time of the incident?
   01 □ Under age 18  
   02 □ 18-24  
   03 □ 25-29  
   04 □ 30-34  
   05 □ 35-39  
   06 □ 40-44  
   07 □ 45-54

24. Perpetrator #2: What was the perpetrator's race/ethnic origin? (Mark [X] all that apply.)
   01 □ White (not of Hispanic origin)  
   02 □ Black (not of Hispanic origin)  
   03 □ Hispanic or Latino  
   04 □ American Indian/Alaska Native (not of Hispanic origin)  
   05 □ Asian (not of Hispanic origin)  
   06 □ Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)  
   07 □ Other racial category in your information system – Specify [X]  

25. What was the nature of the incident? (Mark [X] all that apply.)
   01 □ Voluntary sexual contact between inmates  
   02 □ Sexual harassment  
   03 □ Indecent exposure, masturbation, or voyeurism  
   04 □ Horseplay  
   05 □ Repeated and unwelcome sexual advances or requests for sexual favors  
   06 □ Unwanted touching for sexual gratification or abusive sexual contact  
   07 □ Pressure or coercion (without force) resulting in a nonconsensual sexual act  
   08 □ Physical force (or the threat of force) resulting in a nonconsensual sexual act  
   09 □ Other – Specify [X]

26. What type of pressure or physical force was used by the perpetrator on the victim? (Mark [X] all that apply for all perpetrators.)
   01 □ Sexual harassment, sexual innuendo, or verbal comments  
   02 □ Persuasion or talked into sexual activity  
   03 ✗ Surprised the victim with unwanted touching, grabbing or groping, or victim was asleep  
   04 □ Bribery or blackmail  
   05 □ Gave victim drugs or alcohol  
   06 □ Offered protection from other inmates  
   07 □ Threatened with physical harm  
   08 □ Physically held victim down or restrained in some way  
   09 □ Physically harmed or injured  
   10 □ Threatened with a weapon  
   11 □ Other – Specify [X]

12 □ None

27. What sanction was imposed on the perpetrator(s)? (Mark [X] all that apply for all perpetrators.)
   01 ✗ Placed in solitary confinement or disciplinary segregation  
   02 □ Confined to own cell or room  
   03 □ Placed in higher custody level, restricted unit or program, within the same facility  
   04 ✗ Transferred to other unit/cell or separated from victim  
   05 □ Transferred to another facility  
   06 □ Loss of "good/gain" time, increase in "bad" time or delayed release  
   07 □ Given extra work  
   08 □ Loss of privileges, disciplinary report or conduct violation, or other reprimand  
   09 □ Sent to counseling or treatment team  
   10 ✗ Arrested or referred to law enforcement agency  
   11 □ Referred for prosecution or indicted  
   12 □ Convicted, given new sentence, or fined  
   13 □ Other – Specify [X]  

FORM SSV/4A (4-29-2015)
<table>
<thead>
<tr>
<th>Question</th>
<th>Options</th>
</tr>
</thead>
<tbody>
<tr>
<td>28. What was the nature of the incident?</td>
<td>- Physical force resulting in a nonconsensual sexual act</td>
</tr>
<tr>
<td></td>
<td>- Pressure or abuse of power resulting in a nonconsensual sexual act</td>
</tr>
<tr>
<td></td>
<td>- Indecent exposure, invasion of privacy, or voyeurism for sexual gratification</td>
</tr>
<tr>
<td></td>
<td>- Unwanted touching for sexual gratification</td>
</tr>
<tr>
<td></td>
<td>- Sexual harassment or repeated verbal statements of a sexual nature by staff</td>
</tr>
<tr>
<td></td>
<td>- Wrote letters, showed pictures, or offered gifts or special privileges to inmate</td>
</tr>
<tr>
<td></td>
<td>- Sexual relationship between inmate and staff that appeared to be willing</td>
</tr>
<tr>
<td></td>
<td>- Other – Specify [x]</td>
</tr>
<tr>
<td></td>
<td>- Level of coercion unknown</td>
</tr>
<tr>
<td>29. How many staff were involved in the incident?</td>
<td>Number of staff ...</td>
</tr>
<tr>
<td></td>
<td>→ if more than two staff were involved, report their characteristics in Notes on page 5.</td>
</tr>
<tr>
<td>30. Staff #1: What was the gender of the staff?</td>
<td>- Male</td>
</tr>
<tr>
<td></td>
<td>- Female</td>
</tr>
<tr>
<td>31. Staff #1: What was the age of the staff at the time of the incident?</td>
<td>- 24 or younger</td>
</tr>
<tr>
<td></td>
<td>- 25 – 29</td>
</tr>
<tr>
<td></td>
<td>- 30 – 34</td>
</tr>
<tr>
<td></td>
<td>- 35 – 39</td>
</tr>
<tr>
<td>32. Staff #1: What was the race/ethnic origin of the staff involved in the incident?</td>
<td>- White (not of Hispanic origin)</td>
</tr>
<tr>
<td></td>
<td>- Black (not of Hispanic origin)</td>
</tr>
<tr>
<td></td>
<td>- Hispanic or Latino</td>
</tr>
<tr>
<td></td>
<td>- American Indian/Alaska Native (not of Hispanic origin)</td>
</tr>
<tr>
<td></td>
<td>- Asian (not of Hispanic origin)</td>
</tr>
<tr>
<td></td>
<td>- Native Hawaiian or Other Pacific Islander (not of Hispanic origin)</td>
</tr>
<tr>
<td></td>
<td>- Other racial category in your information system – Specify [x]</td>
</tr>
<tr>
<td>33. Staff #2: What was the gender of the staff?</td>
<td>- Male</td>
</tr>
<tr>
<td></td>
<td>- Female</td>
</tr>
<tr>
<td>34. Staff #2: What was the age of the staff at the time of the incident?</td>
<td>- 24 or younger</td>
</tr>
<tr>
<td></td>
<td>- 25 – 29</td>
</tr>
<tr>
<td></td>
<td>- 30 – 34</td>
</tr>
<tr>
<td></td>
<td>- 35 – 39</td>
</tr>
<tr>
<td></td>
<td>- 40 – 44</td>
</tr>
<tr>
<td></td>
<td>- 45 – 54</td>
</tr>
<tr>
<td></td>
<td>- 55 or older</td>
</tr>
<tr>
<td>35. Staff #2: What was the race/ethnic origin of the staff involved in the incident?</td>
<td>- White (not of Hispanic origin)</td>
</tr>
<tr>
<td></td>
<td>- Black (not of Hispanic origin)</td>
</tr>
<tr>
<td></td>
<td>- Hispanic or Latino</td>
</tr>
<tr>
<td></td>
<td>- American Indian/Alaska Native (not of Hispanic origin)</td>
</tr>
<tr>
<td></td>
<td>- Asian (not of Hispanic origin)</td>
</tr>
<tr>
<td></td>
<td>- Native Hawaiian or Other Pacific Islander (not of Hispanic origin)</td>
</tr>
<tr>
<td></td>
<td>- Other racial category in your information system – Specify [x]</td>
</tr>
<tr>
<td>36. Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer?</td>
<td>- Full- or part-time paid employee</td>
</tr>
<tr>
<td></td>
<td>- Contract employee or vendor</td>
</tr>
<tr>
<td></td>
<td>- Volunteer or intern</td>
</tr>
<tr>
<td></td>
<td>- Other – Specify [x]</td>
</tr>
<tr>
<td>37. What was the primary position description of the staff involved in the incident?</td>
<td>- Administrator, including wardens, superintendents, assistants and others in administrative positions</td>
</tr>
<tr>
<td></td>
<td>- Correctional officer or supervisory staff</td>
</tr>
<tr>
<td></td>
<td>- Clerical staff including secretaries, clerks, receptionists, and other administrative support</td>
</tr>
<tr>
<td></td>
<td>- Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers</td>
</tr>
<tr>
<td></td>
<td>- Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants</td>
</tr>
<tr>
<td></td>
<td>- Education staff, including instructors, teachers, librarians, and education assistants</td>
</tr>
<tr>
<td></td>
<td>- Other program staff</td>
</tr>
<tr>
<td></td>
<td>- Volunteers or Interns</td>
</tr>
<tr>
<td></td>
<td>- Other staff – Specify [x]</td>
</tr>
</tbody>
</table>
38. What sanction was imposed on the staff?
(Mark (X) all that apply for all staff involved.)
01 ☐ Sent to training or counseling
02 ☐ Reprimanded or disciplined
03 ☐ Demoted, diminished responsibilities, or suspended temporarily
04 ☐ Transferred to another facility or unit
05 ☐ Arrested or referred to law enforcement agency
06 ☐ Referred for prosecution or indicted
07 ☐ Convicted, pleaded guilty, sentenced, or fined
08 ☐ Discharged, terminated, or contract not renewed
09 ☐ Staff resigned (prior to completion of investigation)
10 ☐ Staff resigned (after investigation was completed)
11 ☐ Other – Specify

12 ☐ No action taken

39. At the time of the incident, how long had the staff worked at the facility?
(Mark (X) all that apply for all staff involved.)
01 ☐ Less than 6 months
02 ☐ 6 months to 1 year
03 ☐ 1 to 5 years
04 ☐ 5 to 10 years
05 ☐ More than 10 years

Definitions

Sexual victimization

NONCONSENSUAL SEXUAL ACTS: Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuses;

AND

Contact between the penis and the vulva or the penis and the anus including penetration, however slight; OR Contact between the mouth and the penis, vulva, or anus;

OR

Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument.

ABUSIVE SEXUAL CONTACT (less severe): Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.

EXCLUDE incidents in which the contact was incidental to a physical altercation.

SEXUAL HARASSMENT BY ANOTHER INMATE: Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one inmate directed toward another.

STAFF SEXUAL MISCONDUCT: Any behavior or act of a sexual nature directed toward an inmate by an employee, volunteer, contractor, official visitor or other agency representative (exclude family, friends or other visitors).

Sexual relationships of a romantic nature between staff and inmates are included in this definition. Consensual or nonconsensual sexual acts include—

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks that is unrelated to official duties or with the intent to abuse, arouse, or gratify sexual desire;

OR

Completed, attempted, threatened, or requested sexual acts;

OR

Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for reasons unrelated to official duties or for sexual gratification.

STAFF SEXUAL HARASSMENT: Repeated verbal comments or gestures of a sexual nature to an inmate by an employee, volunteer, contractor, official visitor, or other agency representative (excludes family, friends, or other visitors). Include demeaning references to gender; or sexually suggestive or derogatory comments about body or clothing;

OR

Repeated profane or obscene language or gestures.

Gender categories

TRANSGENDER: A person whose gender identity (i.e., internal sense of feeling male or female) is different from the person’s assigned sex at birth.

INTERSEX: A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as disorders of sex development.
1. On what date did the incident occur? (If more than one date, report the most recent.)
   Month  Day  Year
   1  1  2  2  0  1  4

2. In what facility did the incident occur?
   Name
   City/Place

3. Where did the incident occur? (Mark [X] all that apply.)
   01 [X] In the victim's cell or room (e.g., if the victim and perpetrator share a cell or room, count as the victim's cell)
   02 [ ] In the perpetrator's cell or room
   03 [ ] In a dormitory or other multiple housing unit
   04 [ ] In a common area (e.g., shower, dayroom, bathroom)
   05 [ ] In a temporary holding cell or intake area within the facility
   06 [ ] In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway)
   07 [ ] In an instructional area (e.g., classroom, school, library, conference room)
   08 [ ] In a recreation area (e.g., yard, courtyard, gymnasium)
   09 [ ] In a medical area (e.g., infirmary, health clinic)
   10 [ ] In a staff area (e.g., office, break room, counselor's office)
   11 [ ] Offsite or while in transit
   12 [ ] Other – Specify

4. Did the incident take place in an area subject to video monitoring?
   01 [ ] Yes
   02 [X] No
   03 [ ] Don't know

5. What time did the incident occur? (Mark [X] all that apply.)
   01 [ ] Morning (6 a.m. to noon)
   02 [ ] Afternoon (noon to 6 p.m.)
   03 [ ] Evening (6 p.m. to midnight)
   04 [ ] Overnight (midnight to 6 a.m.)
   05 [X] Time unknown

6. How many victims were involved in the incident?
   Number of victims
   
   → If more than two victims were involved, report their characteristics in Notes on page 5.

7. Victim #1: What was the victim's gender? (See definitions on page 5.)
   01 [ ] Male
   02 [X] Female
   03 [ ] Transgender
   04 [ ] Intersex

8. Victim #1: What was the victim's age at the time of the incident?
   01 [ ] Under age 18
   02 [ ] 18 – 24
   03 [ ] 25 – 29
   04 [X] 30 – 34
   05 [ ] 35 – 39
   06 [ ] 40 – 44
   07 [ ] 45 – 54
   08 [ ] 55 or older

9. Victim #1: What was the victim's race/ethnic origin? (Mark [X] all that apply.)
   01 [ ] White (not of Hispanic origin)
   02 [X] Black (not of Hispanic origin)
   03 [ ] Hispanic or Latino
   04 [ ] American Indian/Alaska Native (not of Hispanic origin)
   05 [ ] Asian (not of Hispanic origin)
   06 [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   07 [ ] Other racial category in your information system – Specify

10. Victim #2: What was the victim's gender? (See definitions on page 5.)
    01 [ ] Male
    02 [X] Female
    03 [ ] Transgender
    04 [ ] Intersex

11. Victim #2: What was the victim's age at the time of the incident?
    01 [ ] Under age 18
    02 [ ] 18 – 24
    03 [ ] 25 – 29
    04 [X] 30 – 34
    05 [ ] 35 – 39
    06 [ ] 40 – 44
    07 [ ] 45 – 54
    08 [ ] 55 or older

Burden Statement
Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.
12. Victim #2: What was the victim’s race/ethnic origin? (Mark [X] all that apply.)
- White (not of Hispanic origin)
- Black (not of Hispanic origin)
- Hispanic or Latino
- American Indian/Alaska Native (not of Hispanic origin)
- Asian (not of Hispanic origin)
- Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
- Other racial category in your information system – Specify ☑

13. Did the victim(s) sustain any physical injury during the incident?
- No (No injury sustained)
- Yes → a. What injuries occurred? (Mark [X] all that apply for all victims.)
  - Knife or stab wounds
  - Broken bones
  - Anal or vaginal tearing
  - Chipped or knocked out teeth
  - Internal injuries
  - Knocked unconscious
  - Bruises, black eye, sprains, cuts, scratches, swelling, welts
  - Other – Specify ☑

→ b. Did the victim(s) receive medical treatment for these injuries?
- Yes
- No

14. Who reported the incident? (Mark [X] all that apply.)
- Victim
- Another inmate (non-victim)
- Victim’s family or friend
- Correctional officer or front line staff
- Administrative staff
- Medical, healthcare, or mental health staff
- Instructor, teacher, or counselor
- Other staff (e.g., kitchen worker, maintenance staff)
- Chaplain or other religion official
- Perpetrator
- Perpetrator’s family or friend
- Grievance coordinator, grievance process, or ombudsperson
- Attorney or legal guardian (e.g., other than family member)
- Confidential informant, anonymous tip, hot line, or through monitoring (e.g., camera, telephone, or mail)
- Other – Specify ☑

15. After the incident was reported, was the victim(s) – (Mark [X] all that apply for all victims.)
- Given a medical examination
- Administered a rape kit
- Tested for HIV/AIDS
- Tested for other sexually transmitted diseases
- Provided with counseling or mental health treatment
- Offered but declined testing or treatment
- Already released/discharged
- None of the above

16. After the incident was reported, was the victim(s) – (Mark [X] all that apply for all victims.)
- Placed in or returned to administrative segregation, protective custody, or disciplinary segregation
- Placed in a medical unit, ward, or hospital
- Confined to own cell or room
- Given a higher custody level or different unit within the facility
- Transferred to another facility
- Transferred to another housing unit or dorm, or given a single room or cell
- Separated from perpetrator
- Issued disciplinary report or loss of privileges
- Placed in camera room, under closer surveillance, or increased supervision
- Other – Specify ☑

- None of the above

17. What type of sexual violence was involved in the incident? (See definitions on page 5.)
- Inmate-on-inmate nonconsensual sexual act → Complete Section A
- Inmate-on-inmate abusive sexual contact → Complete Section A
- Inmate-on-inmate sexual harassment → Complete Section A
- Staff sexual misconduct → Complete Section B
- Staff sexual harassment → Complete Section B

Section A – INMATE-ON-INMATE SEXUAL VICTIMIZATION

18. How many perpetrators were involved in the incident?
Number of perpetrators: 

→ If more than two perpetrators were involved, report their characteristics in Notes on page 5.
19. Perpetrator #1: What was the perpetrator's gender? (See definitions on page 5.)
   01 ☐ Male
   02 ☑ Female
   03 ☐ Transgender
   04 ☐ Intersex

20. Perpetrator #1: What was the perpetrator's age at the time of the incident?
   01 ☐ Under age 18
   02 ☐ 18-24
   03 ☐ 25-29
   04 ☐ 30-34
   05 ☐ 35-39
   06 ☐ 40-44
   07 ☐ 45-54
   08 ☐ 55 or older

21. Perpetrator #1: What was the perpetrator's race/ethnic origin? (Mark ☑ all that apply.)
   01 ☑ White (not of Hispanic origin)
   02 ☑ Black (not of Hispanic origin)
   03 ☑ Hispanic or Latino
   04 ☑ American Indian/Alaska Native (not of Hispanic origin)
   05 ☑ Asian (not of Hispanic origin)
   06 ☑ Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)
   07 ☑ Other racial category in your information system – Specify ☑

22. Perpetrator #2: What was the perpetrator's gender? (See definitions on page 5.)
   01 ☐ Male
   02 ☐ Female
   03 ☐ Transgender
   04 ☐ Intersex

23. Perpetrator #2: What was the perpetrator's age at the time of the incident?
   01 ☐ Under age 18
   02 ☐ 18-24
   03 ☐ 25-29
   04 ☐ 30-34
   05 ☐ 35-39
   06 ☐ 40-44
   07 ☐ 45-54
   08 ☐ 55 or older

24. Perpetrator #2: What was the perpetrator's race/ethnic origin? (Mark ☑ all that apply.)
   01 ☐ White (not of Hispanic origin)
   02 ☑ Black (not of Hispanic origin)
   03 ☐ Hispanic or Latino
   04 ☑ American Indian/Alaska Native (not of Hispanic origin)
   05 ☑ Asian (not of Hispanic origin)
   06 ☑ Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)
   07 ☑ Other racial category in your information system – Specify ☑

25. What was the nature of the incident? (Mark ☑ all that apply.)
   01 ☐ Voluntary sexual contact between inmates
   02 ☑ Sexual harassment
   03 ☑ Indecent exposure, masturbation, or voyeurism
   04 ☑ Horseplay
   05 ☑ Repeated and unwelcome sexual advances or requests for sexual favors
   06 ☑ Unwanted touching for sexual gratification or abusive sexual contact
   07 ☑ Pressure or coercion (without force) resulting in a nonconsensual sexual act
   08 ☑ Physical force (or the threat of force) resulting in a nonconsensual sexual act
   09 ☐ Other – Specify ☑

26. What type of pressure or physical force was used by the perpetrator on the victim? (Mark ☑ all that apply for all perpetrators.)
   01 ☑ Sexual harassment, sexual innuendo, or verbal comments
   02 ☑ Persuasion or talked into sexual activity
   03 ☑ Surprised the victim with unwanted touching, grabbing or groping, or victim was asleep
   04 ☑ Bribery or blackmail
   05 ☑ Gave victim drugs or alcohol
   06 ☑ Offered protection from other inmates
   07 ☑ Threatened with physical harm
   08 ☑ Physically held victim down or restrained in some way
   09 ☑ Physically harmed or injured
   10 ☑ Threatened with a weapon
   11 ☐ Other – Specify ☑

12 ☐ None

27. What sanction was imposed on the perpetrator(s)? (Mark ☑ all that apply for all perpetrators.)
   01 ☐ Placed in solitary confinement or disciplinary segregation
   02 ☑ Confined to own cell or room
   03 ☑ Placed in higher custody level, restricted unit or program, within the same facility
   04 ☑ Transferred to other unit/cell or separated from victim
   05 ☐ Transferred to another facility
   06 ☑ Loss of "good/gain" time, increase in "bad" time or delayed release
   07 ☑ Given extra work
   08 ☑ Loss of privileges, disciplinary report or conduct violation, or other reprimand
   09 ☑ Sent to counseling or treatment team
   10 ☑ Arrested or referred to law enforcement agency
   11 ☑ Referred for prosecution or indicted
   12 ☑ Convicted, given new sentence, or fined
   13 ☐ Other – Specify ☑
28. What was the nature of the incident?  
(Mark (X) all that apply.)
- [ ] Physical force resulting in a nonconsensual sexual act
- [ ] Pressure or abuse of power resulting in a nonconsensual sexual act
- [ ] Indecent exposure, invasion of privacy, or voyeurism for sexual gratification
- [ ] Unwanted touching for sexual gratification
- [ ] Sexual harassment or repeated verbal statements of a sexual nature by staff
- [ ] Wrote letters, showed pictures, or offered gifts or special privileges to inmate
- [ ] Sexual relationship between inmate and staff that appeared to be willing
- [ ] Other – Specify
- [ ] Level of coercion unknown

29. How many staff were involved in the incident?  

If more than two staff were involved, report their characteristics in Notes on page 3.

30. Staff #1: What was the gender of the staff?  
- [ ] Male
- [ ] Female

31. Staff #1: What was the age of the staff at the time of the incident?  
- [ ] 24 or younger
- [ ] 25 - 29
- [ ] 30 - 34
- [ ] 35 - 39

32. Staff #1: What was the race/ethnic origin of the staff involved in the incident?  
(Mark (X) all that apply.)
- [ ] White (not of Hispanic origin)
- [ ] Black (not of Hispanic origin)
- [ ] Hispanic or Latino
- [ ] American Indian/Alaska Native (not of Hispanic origin)
- [ ] Asian (not of Hispanic origin)
- [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
- [ ] Other racial category in your information system – Specify

33. Staff #2: What was the gender of the staff?  
- [ ] Male
- [ ] Female

34. Staff #2: What was the age of the staff at the time of the incident?  
- [ ] 24 or younger
- [ ] 25 - 29
- [ ] 30 - 34
- [ ] 35 - 39
- [ ] 40 - 44
- [ ] 45 - 54
- [ ] 55 or older

35. Staff #2: What was the race/ethnic origin of the staff involved in the incident?  
(Mark (X) all that apply.)
- [ ] White (not of Hispanic origin)
- [ ] Black (not of Hispanic origin)
- [ ] Hispanic or Latino
- [ ] American Indian/Alaska Native (not of Hispanic origin)
- [ ] Asian (not of Hispanic origin)
- [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
- [ ] Other racial category in your information system – Specify

36. Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer?  
(Mark (X) all that apply for all staff involved.)
- [ ] Full- or part-time paid employee
- [ ] Contract employee or vendor
- [ ] Volunteer or intern
- [ ] Other – Specify

37. What was the primary position description of the staff involved in the incident?  
(Mark (X) all that apply for all staff involved.)
- [ ] Administrator, including wardens, superintendents, assistants and others in administrative positions
- [ ] Correctional officer or supervisory staff
- [ ] Clerical staff including secretaries, clerks, receptionists, and other administrative support
- [ ] Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
- [ ] Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
- [ ] Education staff, including instructors, teachers, librarians, and education assistants
- [ ] Other program staff
- [ ] Volunteers or Interns
- [ ] Other staff – Specify
38. What sanction was imposed on the staff? (Mark [X] all that apply for all staff involved.)
- [ ] Sent to training or counseling
- [ ] Reprimanded or disciplined
- [ ] Demoted, diminished responsibilities, or suspended temporarily
- [ ] Transferred to another facility or unit
- [ ] Arrested or referred to law enforcement agency
- [ ] Referred for prosecution or indictment
- [ ] Convicted, plead guilty, sentenced, or fined
- [ ] Discharged, terminated, or contract not renewed
- [ ] Staff resigned (prior to completion of investigation)
- [ ] Staff resigned (after investigation was completed)
- [ ] Other – Specify

12. [ ] No action taken

39. At the time of the incident, how long had the staff worked at the facility? (Mark [X] all that apply for all staff involved.)
- [ ] Less than 6 months
- [ ] 6 months to 1 year
- [ ] 1 to 5 years
- [ ] 5 to 10 years
- [ ] More than 10 years

---

### Definitions

**Sexual victimization**

**NONCONSENSUAL SEXUAL ACTS:** Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Contact between the penis and the vulva or the penis and the anus including penetration, however slight; OR

Contact between the mouth and the penis, vulva, or anus;

OR

Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument.

**ABUSIVE SEXUAL CONTACT (less severe):** Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.

EXCLUDE incidents in which the contact was incidental to a physical altercation.

**SEXUAL HARASSMENT BY ANOTHER INMATE:** Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one inmate directed toward another.

**STAFF SEXUAL MISCONDUCT:** Any behavior or act of a sexual nature directed toward an inmate by an employee, volunteer, contractor, official visitor or other agency representative (exclude family, friends or other visitors).

Sexual relationships of a romantic nature between staff and inmates are included in this definition. Consensual or nonconsensual sexual acts include—

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks that is unrelated to official duties or with the intent to abuse, arouse, or gratify sexual desire;

OR

Completed, attempted, threatened, or requested sexual acts;

OR

Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for reasons unrelated to official duties or for sexual gratification.

**STAFF SEXUAL HARASSMENT:** Repeated verbal comments or gestures of a sexual nature to an inmate by an employee, volunteer, contractor, official visitor, or other agency representative (excludes family, friends, or other visitors). Include demeaning references to gender; or sexually suggestive or derogatory comments about body or clothing;

OR

Repeated profane or obscene language or gestures.

**Gender categories**

**TRANSGENDER:** A person whose gender identity (i.e., internal sense of feeling male or female) is different from the person’s assigned sex at birth.

**INTERSEX:** A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as disorders of sex development.
SURVEY OF SEXUAL VICTIMIZATION, 2014
Incident Form (Adult)

1. On what date did the incident occur?
(If more than one date, report the most recent.)
Month Day Year
6 7 16 2 0 1 4 (date of report)

2. In what facility did the incident occur?
Name
City/Place

3. Where did the incident occur? (Mark [x] all that apply.)
01 ☐ In the victim’s cell or room (e.g., if the victim and perpetrator share a cell or room, count as the victim’s cell)
02 [x] In the perpetrator’s cell or room
03 ☐ In a dormitory or other multiple housing unit
04 ☐ In a common area (e.g., shower, dayroom, bathroom)
05 ☐ In a temporary holding cell or intake area within the facility
06 ☐ In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway)
07 ☐ In an instructional area (e.g., classroom, school library, conference room)
08 ☐ In a recreation area (e.g., yard, courtyard, gymnasium)
09 ☐ In a medical area (e.g., infirmary, health clinic)
10 ☐ In a staff area (e.g., office, break room, counselor’s office)
11 ☐ Offsite or while in transit
12 ☐ Other – Specify

13 ☐ Location unknown

4. Did the incident take place in an area subject to video monitoring?
01 ☐ Yes
02 ☐ No
03 ☐ Don’t know

5. What time did the incident occur? (Mark [x] all that apply.)
01 ☐ Morning (6 a.m. to noon)
02 ☐ Afternoon (noon to 6 p.m.)
03 ☐ Evening (6 p.m. to midnight)
04 ☐ Overnight (midnight to 6 a.m.)
05 ☐ Time unknown

6. How many victims were involved in the incident?
Number of victims 2

→ If more than two victims were involved, report their characteristics in Notes on page 5.

7. Victim #1: What was the victim’s gender?
(See definitions on page 5.)
01 ☐ Male
02 ☐ Female
03 ☐ Transgender
04 ☐ Intersex

8. Victim #1: What was the victim’s age at the time of the incident?
01 ☐ Under age 18
02 ☐ 18 – 24
03 ☐ 25 – 29
04 ☐ 30 – 34
05 ☐ 35 – 39
06 ☐ 40 – 44
07 ☐ 45 – 54
08 ☐ 55 or older

9. Victim #1: What was the victim’s race/ethnic origin?
(See definitions on page 5.)
01 ☐ White (not of Hispanic origin)
02 ☐ Black (not of Hispanic origin)
03 ☐ Hispanic or Latino
04 ☐ American Indian/Alaska Native (not of Hispanic origin)
05 ☐ Asian (not of Hispanic origin)
06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 ☐ Other racial category in your information system – Specify

10. Victim #2: What was the victim’s gender?
(See definitions on page 5.)
01 ☐ Male
02 ☐ Female
03 ☐ Transgender
04 ☐ Intersex

11. Victim #2: What was the victim’s age at the time of the incident?
01 ☐ Under age 18
02 ☐ 18 – 24
03 ☐ 25 – 29
04 ☐ 30 – 34
05 ☐ 35 – 39
06 ☐ 40 – 44
07 ☐ 45 – 54
08 ☐ 55 or older

Burden Statement
Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.
12. **Victim #2: What was the victim’s race/ethnic origin?**
   (Mark [x] all that apply.)
   - 01 [x] White (not of Hispanic origin)
   - 02 [ ] Black (not of Hispanic origin)
   - 03 [ ] Hispanic or Latino
   - 04 [ ] American Indian/Alaska Native (not of Hispanic origin)
   - 05 [ ] Asian (not of Hispanic origin)
   - 06 [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   - 07 [ ] Other racial category in your information system – Specify [x]

13. Did the victim(s) sustain any physical injury during the incident?
   - 02 [x] No (No injury sustained)
   - 01 [ ] Yes → a. **What injuries occurred?**
     (Mark [x] all that apply for all victims.)
     - 01 [ ] Knife or stab wounds
     - 02 [ ] Broken bones
     - 03 [ ] Anal or vaginal tearing
     - 04 [ ] Chipped or knocked out teeth
     - 05 [ ] Internal injuries
     - 06 [ ] Knocked unconscious
     - 07 [ ] Bruises, black eye, sprains, cuts, scratches, swelling, welts
     - 08 [ ] Other – Specify [x]

   → b. Did the victim(s) receive medical treatment for these injuries?
     - 01 [ ] Yes
     - 02 [ ] No

14. **Who reported the incident?**
   (Mark [x] all that apply.)
   - 01 [x] Victim
   - 02 [ ] Another inmate (non-victim)
   - 03 [ ] Victim’s family or friend
   - 04 [ ] Correctional officer or front line staff
   - 05 [ ] Administrative staff
   - 06 [ ] Medical, healthcare, or mental health staff
   - 07 [ ] Instructor, teacher, or counselor
   - 08 [ ] Other staff (e.g., kitchen worker, maintenance staff)
   - 09 [ ] Chaplain or other religion official
   - 10 [ ] Perpetrator
   - 11 [ ] Perpetrator’s family or friend
   - 12 [ ] Grievance coordinator, grievance process, or ombudsman
   - 13 [ ] Attorney or legal guardian (e.g., other than family member)
   - 14 [ ] Confidential informant, anonymous tip, hot line, or through monitoring (e.g., camera, telephone, or mail)
   - 15 [ ] Other – Specify [x]

15. **After the incident was reported, was the victim(s) –**
   (Mark [x] all that apply for all victims.)
   - 01 [ ] Given a medical examination
   - 02 [ ] Administered a rape kit
   - 03 [ ] Tested for HIV/AIDS
   - 04 [ ] Tested for other sexually transmitted diseases
   - 05 [ ] Provided with counseling or mental health treatment
   - 06 [ ] Offered but declined testing or treatment
   - 07 [ ] Already released/discharged
   - 08 [x] None of the above

16. **After the incident was reported, was the victim(s) –**
   (Mark [x] all that apply for all victims.)
   - 01 [ ] Placed in or returned to administrative segregation, protective custody, or disciplinary segregation
   - 02 [ ] Placed in a medical unit, ward, or hospital
   - 03 [ ] Confined to own cell or room
   - 04 [ ] Given a higher custody level or different unit within the facility
   - 05 [ ] Transferred to another facility
   - 06 [ ] Transferred to another housing unit or dorm, or given a single room or cell
   - 07 [x] Separated from perpetrator
   - 08 [ ] Issued disciplinary report or loss of privileges
   - 09 [ ] Placed in camera room, under closer surveillance, or increased supervision
   - 10 [ ] Other – Specify [x]

   11 [ ] None of the above

17. **What type of sexual violence was involved in the incident?** (See definitions on page 5.)
   - 01 [ ] Inmate-on-inmate noncensensual sexual act → Complete Section A
   - 02 [x] Inmate-on-inmate abusive sexual contact → Complete Section A
   - 03 [x] Inmate-on-inmate sexual harassment → Complete Section A
   - 04 [ ] Staff sexual misconduct → Complete Section B
   - 05 [ ] Staff sexual harassment → Complete Section B

**Section A – INMATE-ON-INMATE SEXUAL VICTIMIZATION**

18. **How many perpetrators were involved in the incident?**
   Number of perpetrators...

   → If more than two perpetrators were involved, report their characteristics in Notes on page 5.
19. Perpetrator #1: What was the perpetrator's gender? (See definitions on page 5.)
   - Male
   - Female
   - Transgender
   - Intersex

20. Perpetrator #1: What was the perpetrator's age at the time of the incident?
   - Under age 18
   - 18-24
   - 25-29
   - 30-34
   - 35-39
   - 40-44
   - 45-54
   - 55 or older

21. Perpetrator #1: What was the perpetrator's race/ethnic origin? (Mark [X] all that apply.)
   - White (not of Hispanic origin)
   - Black (not of Hispanic origin)
   - Hispanic or Latino
   - American Indian/Alaska Native (not of Hispanic origin)
   - Asian (not of Hispanic origin)
   - Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   - Other racial category in your information system – Specify

22. Perpetrator #2: What was the perpetrator's gender? (See definitions on page 5.)
   - Male
   - Female
   - Transgender
   - Intersex

23. Perpetrator #2: What was the perpetrator's age at the time of the incident?
   - Under age 18
   - 18-24
   - 25-29
   - 30-34
   - 35-39
   - 40-44

24. Perpetrator #2: What was the perpetrator's race/ethnic origin? (Mark [X] all that apply.)
   - White (not of Hispanic origin)
   - Black (not of Hispanic origin)
   - Hispanic or Latino
   - American Indian/Alaska Native (not of Hispanic origin)
   - Asian (not of Hispanic origin)
   - Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)
   - Other racial category in your information system – Specify

25. What was the nature of the incident? (Mark [X] all that apply.)
   - Voluntary sexual contact between inmates
   - Sexual harassment
   - Indecent exposure, masturbation, or voyeurism
   - Horseplay
   - Repeated and unwelcome sexual advances or requests for sexual favors
   - Unwanted touching for sexual gratification or abusive sexual contact
   - Pressure or coercion (without force) resulting in a nonconsensual sexual act
   - Physical force (or the threat of force) resulting in a nonconsensual sexual act
   - Other – Specify

26. What type of pressure or physical force was used by the perpetrator on the victim? (Mark [X] all that apply for all perpetrators.)
   - Sexual harassment, sexual innuendo, or verbal comments
   - Persuasion or talked into sexual activity
   - Surprised the victim with unwanted touching, grabbing or groping, or victim was asleep
   - Bribery or blackmail
   - Gave victim drugs or alcohol
   - Offered protection from other inmates
   - Threatened with physical harm
   - Physically held victim down or restrained in some way
   - Physically harmed or injured
   - Threatened with a weapon
   - Other – Specify

27. What sanction was imposed on the perpetrator(s)? (Mark [X] all that apply for all perpetrators.)
   - Placed in solitary confinement or disciplinary segregation
   - Confined to own cell or room
   - Placed in higher custody level, restricted unit or program, within the same facility
   - Transferred to other unit/cell or separated from victim
   - Transferred to another facility
   - Loss of "good/gain" time, increase in "bad" time or delayed release
   - Given extra work
   - Loss of privileges, disciplinary report or conduct violation, or other reprimand
   - Sent to counseling or treatment team
   - Arrested or referred to law enforcement agency
   - Referred for prosecution or indicted
   - Convicted, given new sentence, or fined
   - Other – Specify
28. What was the nature of the incident? (Mark [x] all that apply.)
   01  □  Physical force resulting in a nonconsensual sexual act
   02  □  Pressure or abuse of power resulting in a nonconsensual sexual act
   03  □  Indecent exposure, invasion of privacy, or voyeurism for sexual gratification
   04  □  Unwanted touching for sexual gratification
   05  □  Sexual harassment or repeated verbal statements of a sexual nature by staff
   06  □  Wrote letters, showed pictures, or offered gifts or special privileges to inmate
   07  □  Sexual relationship between inmate and staff that appeared to be willing
   08  □  Other – Specify

   09  □  Level of coercion unknown

29. How many staff were involved in the incident?
   Number of staff ...

   → If more than two staff were involved, report their characteristics in Notes on page 5.

30. Staff #1: What was the gender of the staff?
    01  □  Male
    02  □  Female

31. Staff #1: What was the age of the staff at the time of the incident?
    01  □  24 or younger
    02  □  25 – 29
    03  □  30 – 34
    04  □  35 – 39
    05  □  40 – 44
    06  □  45 – 54
    07  □  55 or older

32. Staff #1: What was the race/ethnic origin of the staff involved in the incident? (Mark [x] all that apply.)
    01  □  White (not of Hispanic origin)
    02  □  Black (not of Hispanic origin)
    03  □  Hispanic or Latino
    04  □  American Indian/Alaska Native (not of Hispanic origin)
    05  □  Asian (not of Hispanic origin)
    06  □  Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
    07  □  Other racial category in your information system – Specify

33. Staff #2: What was the gender of the staff?
    01  □  Male
    02  □  Female

34. Staff #2: What was the age of the staff at the time of the incident?
    01  □  24 or younger
    02  □  25 – 29
    03  □  30 – 34
    04  □  35 – 39
    05  □  40 – 44
    06  □  45 – 54
    07  □  55 or older

35. Staff #2: What was the race/ethnic origin of the staff involved in the incident? (Mark [x] all that apply.)
    01  □  White (not of Hispanic origin)
    02  □  Black (not of Hispanic origin)
    03  □  Hispanic or Latino
    04  □  American Indian/Alaska Native (not of Hispanic origin)
    05  □  Asian (not of Hispanic origin)
    06  □  Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
    07  □  Other racial category in your information system – Specify

36. Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer? (Mark [x] all that apply for all staff involved.)
    01  □  Full- or part-time paid employee
    02  □  Contract employee or vendor
    03  □  Volunteer or intern
    04  □  Other – Specify

37. What was the primary position description of the staff involved in the incident? (Mark [x] all that apply for all staff involved.)
    01  □  Administrator, including wardens, superintendents, assistants and others in administrative positions
    02  □  Correctional officer or supervisory staff
    03  □  Clerical staff including secretaries, clerks, receptionists, and other administrative support
    04  □  Maintenance and other facility support staff, including groundkeepers, janitors, cooks, and drivers
    05  □  Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
    06  □  Education staff, including instructors, teachers, librarians, and education assistants
    07  □  Other program staff
    08  □  Volunteers or Interns
    09  □  Other staff – Specify
38. What sanction was imposed on the staff?
(Mark (X) all that apply for all staff involved.)

01 □ Sent to training or counseling
02 □ Reprimanded or disciplined
03 □ Demoted, diminished responsibilities, or suspended temporarily
04 □ Transferred to another facility or unit
05 □ Arrested or referred to law enforcement agency
06 □ Referred for prosecution or indicted
07 □ Convicted, plead guilty, sentenced, or fined
08 □ Discharged, terminated, or contract not renewed
09 □ Staff resigned (prior to completion of investigation)
10 □ Staff resigned (after investigation was completed)
11 □ Other – Specify □

12 □ No action taken

39. At the time of the incident, how long had the staff worked at the facility?
(Mark (X) all that apply for all staff involved.)

01 □ Less than 6 months
02 □ 6 months to 1 year
03 □ 1 to 5 years
04 □ 5 to 10 years
05 □ More than 10 years

NOTES

Definitions

Sexual victimization

NONCONSENSUAL SEXUAL ACTS: Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Contact between the penis and the vulva or the penis and the anus including penetration, however slight; OR

Contact between the mouth and the penis, vulva, or anus;

OR

Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument.

ABUSIVE SEXUAL CONTACT (less severe): Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.

EXCLUDE incidents in which the contact was incidental to a physical alteration.

SEXUAL HARASSMENT BY ANOTHER INMATE: Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one inmate directed toward another.

STAFF SEXUAL MISCONDUCT: Any behavior or act of a sexual nature directed toward an inmate by an employee, volunteer, contractor, official visitor or other agency representative (exclude family, friends or other visitors).

Sexual relationships of a romantic nature between staff and inmates are included in this definition. Consensual or nonconsensual sexual acts include—

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks that is unrelated to official duties or with the intent to abuse, arouse, or gratify sexual desire;

OR

Completed, attempted, threatened, or requested sexual acts;

OR

Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for reasons unrelated to official duties or for sexual gratification.

STAFF SEXUAL HARASSMENT: Repeated verbal comments or gestures of a sexual nature to an inmate by an employee, volunteer, contractor, official visitor, or other agency representative (excludes family, friends, or other visitors). Include demeaning references to gender; or sexually suggestive or derogatory comments about body or clothing;

OR

Repeated profane or obscene language or gestures.

Gender categories

TRANSGENDER: A person whose gender identity (i.e., internal sense of feeling male or female) is different from the person’s assigned sex at birth.

INTERSEX: A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as disorders of sex development.
1. **On what date did the incident occur?**
   (If more than one date, report the most recent.)
   
   Month  Day  Year
   04  08  2014

2. **In what facility did the incident occur?**
   
   Name
   
   City/Place
   
   CHARLESTON  

3. **Where did the incident occur? (Mark [X] all that apply.)**
   
   01 [X] In the victim's cell or room (e.g., if the victim and perpetrator share a cell or room, count as the victim’s cell)
   02 [X] In the perpetrator’s cell or room
   03 [X] In a common area (e.g., shower, dayroom, bathroom)
   04 [X] In a temporary holding cell or intake area within the facility
   05 [X] Offsite or while in transit
   06 [X] In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway)
   07 [X] In an instructional area (e.g., classroom, school, library, conference room)
   08 [X] In a recreation area (e.g., yard, courtyard, gymnasium)
   09 [X] In a medical area (e.g., infirmary, health clinic)
   10 [X] In a staff area (e.g., office, break room, counselor's office)

4. **Did the incident take place in an area subject to video monitoring?**
   
   01 [X] Yes
   02 No
   03 Don't know

5. **What time did the incident occur? (Mark [X] all that apply.)**
   
   01 [X] Morning (6 a.m. to noon)
   02 [X] Afternoon (noon to 6 p.m.)
   03 [X] Evening (6 p.m. to midnight)
   04 [X] Overnight (midnight to 6 a.m.)
   05 [X] Time unknown

6. **How many victims were involved in the incident?**
   
   Number of victims
   
   [ ] 1
   
   [ ] If more than two victims were involved, report their characteristics in Notes on page 5.

7. **Victim #1: What was the victim's gender?**
   (See definitions on page 6.)
   
   01 [X] Male
   02 Female
   03 Transgender
   04 Intersex

8. **Victim #1: What was the victim's age at the time of the incident?**
   
   01 [X] Under age 18
   02 [X] 18-24
   03 [X] 25-29
   04 [X] 30-34
   05 [X] 35-39
   06 [X] 40-44
   07 [X] 45-54
   08 [X] 55 or older

9. **Victim #1: What was the victim's race/ethnic origin? (Mark [X] all that apply.)**
   
   01 [X] White (not of Hispanic origin)
   02 [X] Black (not of Hispanic origin)
   03 Hispanic or Latino
   04 American Indian/Alaska Native (not of Hispanic origin)
   05 Asian (not of Hispanic origin)
   06 Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   07 Other racial category in your information system – Specify

10. **Victim #2: What was the victim's gender?**
    (See definitions on page 6.)
    
    01 [X] Male
    02 Female
    03 Transgender
    04 Intersex

11. **Victim #2: What was the victim's age at the time of the incident?**
    
    01 [X] Under age 18
    02 [X] 18-24
    03 [X] 25-29
    04 [X] 30-34
    05 [X] 35-39
    06 [X] 40-44
    07 [X] 45-54
    08 [X] 55 or older

**Burden Statement**

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 5 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.
12. Victim #2: What was the victim’s race/ethnic origin? (Mark [X] all that apply.)
01 □ White (not of Hispanic origin)
02 □ Black (not of Hispanic origin)
03 □ Hispanic or Latino
04 □ American Indian/Alaska Native (not of Hispanic origin)
05 □ Asian (not of Hispanic origin)
06 □ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 □ Other racial category in your information system – Specify [X]

13. Did the victim(s) sustain any physical injury during the incident?
02 □ No (No injury sustained)
01 □ Yes → a. What injuries occurred? (Mark [X] all that apply for all victims.)
01 □ Knife or stab wounds
02 □ Broken bones
03 □ Anal or vaginal tearing
04 □ Chipped or knocked out teeth
05 □ Internal injuries
06 □ Knocked unconscious
07 □ Bruises, black eye, sprains, cuts, scratches, swelling, welts
08 □ Other – Specify [X]

→ b. Did the victim(s) receive medical treatment for these injuries?
01 □ Yes
02 □ No

14. Who reported the incident? (Mark [X] all that apply.)
01 □ Victim
02 □ Another inmate (non-victim)
03 □ Victim’s family or friend
04 □ Correctional officer or front line staff
05 □ Administrative staff
06 □ Medical, healthcare, or mental health staff
07 □ Instructor, teacher, or counselor
08 □ Other staff (e.g., kitchen worker, maintenance staff)
09 □ Chaplain or other religion official
10 □ Perpetrator
11 □ Perpetrator’s family or friend
12 □ Grievance coordinator, grievance process, or ombudsperson
13 □ Attorney or legal guardian (e.g., other than family member)
14 □ Confidential informant, anonymous tip, hot line, or through monitoring (e.g., camera, telephone, or mail)
15 □ Other – Specify [X]

15. After the incident was reported, was the victim(s) – (Mark [X] all that apply for all victims.)
01 □ Given a medical examination
02 □ Administered a rape kit
03 □ Tested for HIV/AIDS
04 □ Tested for other sexually transmitted diseases
05 □ Provided with counseling or mental health treatment
06 □ Offered but declined testing or treatment
07 □ Already released/discharged
08 □ None of the above

16. After the incident was reported, was the victim(s) – (Mark [X] all that apply for all victims.)
01 □ Placed in or returned to administrative segregation, protective custody, or disciplinary segregation
02 □ Placed in a medical unit, ward, or hospital
03 □ Confined to own cell or room
04 □ Given a higher custody level or different unit within the facility
05 □ Transferred to another facility
06 □ Transferred to another housing unit or dorm, or given a single room or cell
07 □ Separated from perpetrator
08 □ Issued disciplinary report or loss of privileges
09 □ Placed in camera room, under closer surveillance, or increased supervision
10 □ Other – Specify [X]

11 □ None of the above

17. What type of sexual violence was involved in the incident? (See definitions on page 5.)
01 □ Inmate-on-inmate nonconsensual sexual act → Complete Section A
02 □ Inmate-on-inmate abusive sexual contact → Complete Section A
03 □ Inmate-on-inmate sexual harassment → Complete Section A
04 □ Staff sexual misconduct → Complete Section B
05 □ Staff sexual harassment → Complete Section B

Section A – INMATE-ON-INMATE SEXUAL VICTIMIZATION

18. How many perpetrators were involved in the incident?
Number of perpetrators …

→ If more than two perpetrators were involved, report their characteristics in Notes on page 5.
19. Perpetrator #1: What was the perpetrator's gender? (See definitions on page 5.)
   - [X] Male
   - 02 Female
   - 03 Transgender
   - 04 Intersex

20. Perpetrator #1: What was the perpetrator's age at the time of the incident?
   - 01 Under age 18
   - 02 18-24
   - 03 25-29
   - 04 30-34
   - 05 35-39
   - 06 40-44
   - 07 45-54
   - 08 55 or older

21. Perpetrator #1: What was the perpetrator's race/ethnic origin? (Mark [X] all that apply.)
   - 01 White (not of Hispanic origin)
   - 02 Black (not of Hispanic origin)
   - 03 Hispanic or Latino
   - 04 American Indian/Alaska Native (not of Hispanic origin)
   - 05 Asian (not of Hispanic origin)
   - 06 Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)
   - 07 Other racial category in your information system – Specify

22. Perpetrator #2: What was the perpetrator's gender? (See definitions on page 5.)
   - 01 Male
   - 02 Female
   - 03 Transgender
   - 04 Intersex

23. Perpetrator #2: What was the perpetrator's age at the time of the incident?
   - 01 Under age 18
   - 02 18-24
   - 03 25-29
   - 04 30-34
   - 05 35-39
   - 06 40-44
   - 07 45-54
   - 08 55 or older

24. Perpetrator #2: What was the perpetrator's race/ethnic origin? (Mark [X] all that apply.)
   - 01 White (not of Hispanic origin)
   - 02 Black (not of Hispanic origin)
   - 03 Hispanic or Latino
   - 04 American Indian/Alaska Native (not of Hispanic origin)
   - 05 Asian (not of Hispanic origin)
   - 06 Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)
   - 07 Other racial category in your information system – Specify

25. What was the nature of the incident? (Mark [X] all that apply.)
   - 01 Voluntary sexual contact between inmates
   - 02 Sexual harassment
   - 03 Indecent exposure, masturbation, or voyeurism
   - 04 Horseplay
   - 05 Repeated and unwelcome sexual advances or requests for sexual favors
   - 06 Unwanted touching for sexual gratification or abusive sexual contact
   - 07 Pressure or coercion (without force) resulting in a nonconsensual sexual act
   - 08 Physical force (or the threat of force) resulting in a nonconsensual sexual act
   - 09 Other – Specify

26. What type of pressure or physical force was used by the perpetrator on the victim? (Mark [X] all that apply for all perpetrators.)
   - 01 Sexual harassment, sexual innuendo, or verbal comments
   - 02 Persuasion or talked into sexual activity
   - 03 Surprised the victim with unwanted touching, grabbing or groping, or victim was asleep
   - 04 Bribery or blackmail
   - 05 Gave victim drugs or alcohol
   - 06 Offered protection from other inmates
   - 07 Threatened with physical harm
   - 08 Physically held victim down or restrained in some way
   - 09 Physically harmed or injured
   - 10 Threatened with a weapon
   - 11 Other – Specify
   - 12 None

27. What sanction was imposed on the perpetrator(s)? (Mark [X] all that apply for all perpetrators.)
   - 01 Placed in solitary confinement or disciplinary segregation
   - 02 Confined to own cell or room
   - 03 Placed in higher custody level, restricted unit or program, within the same facility
   - 04 Transferred to other unit/cell or separated from victim
   - 05 Transferred to another facility
   - 06 Loss of "good/gain" time, increase in "bad" time or delayed release
   - 07 Given extra work
   - 08 Loss of privileges, disciplinary report or conduct violation, or other reprimand
   - 09 Sent to counseling or treatment team
   - 10 Arrested or referred to law enforcement agency
   - 11 Referred for prosecution or indicted
   - 12 Convicted, given new sentence, or fined
   - 13 Other – Specify
28. What was the nature of the incident? (Mark [X] all that apply.)

01 ☐ Physical force resulting in a nonconsensual sexual act
02 ☐ Pressure or abuse of power resulting in a nonconsensual sexual act
03 ☐ Indecent exposure, invasion of privacy, or voyeurism for sexual gratification
04 ☐ Unwanted touching for sexual gratification
05 ☐ Sexual harassment or repeated verbal statements of a sexual nature by staff
06 ☐ Wrote letters, showed pictures, or offered gifts or special privileges to inmate
07 ☐ Sexual relationship between inmate and staff that appeared to be willing
08 ☐ Other – Specify  
09 ☐ Level of coercion unknown

29. How many staff were involved in the incident?

Number of staff . . .

→ If more than two staff were involved, report their characteristics in Notes on page 5.

30. Staff #1: What was the gender of the staff?

01 ☐ Male 02 ☐ Female

31. Staff #1: What was the age of the staff at the time of the incident?

01 ☐ 24 or younger 05 ☐ 40 – 44
02 ☐ 25 – 29 06 ☐ 45 – 54
03 ☐ 30 – 34 07 ☐ 55 or older
04 ☐ 35 – 39

32. Staff #1: What was the race/ethnic origin of the staff involved in the incident? (Mark [X] all that apply.)

01 ☐ White (not of Hispanic origin)
02 ☐ Black (not of Hispanic origin)
03 ☐ Hispanic or Latino
04 ☐ American Indian/Alaska Native (not of Hispanic origin)
05 ☐ Asian (not of Hispanic origin)
06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 ☐ Other racial category in your information system – Specify  

33. Staff #2: What was the gender of the staff?

01 ☐ Male 02 ☐ Female

34. Staff #2: What was the age of the staff at the time of the incident?

01 ☐ 24 or younger 05 ☐ 40 – 44
02 ☐ 25 – 29 06 ☐ 45 – 54
03 ☐ 30 – 34 07 ☐ 55 or older
04 ☐ 35 – 39

35. Staff #2: What was the race/ethnic origin of the staff involved in the incident? (Mark [X] all that apply.)

01 ☐ White (not of Hispanic origin)
02 ☐ Black (not of Hispanic origin)
03 ☐ Hispanic or Latino
04 ☐ American Indian/Alaska Native (not of Hispanic origin)
05 ☐ Asian (not of Hispanic origin)
06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 ☐ Other racial category in your information system – Specify  

36. Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer? (Mark [X] all that apply for all staff involved.)

01 ☐ Full- or part-time paid employee
02 ☐ Contract employee or vendor
03 ☐ Volunteer or intern
04 ☐ Other – Specify  

37. What was the primary position description of the staff involved in the incident? (Mark [X] all that apply for all staff involved.)

01 ☐ Administrator, including wardens, superintendents, assistants and others in administrative positions
02 ☐ Correctional officer or supervisory staff
03 ☐ Clerical staff including secretaries, clerks, receptionists, and other administrative support
04 ☐ Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
05 ☐ Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
06 ☐ Education staff, including instructors, teachers, librarians, and education assistants
07 ☐ Other program staff
08 ☐ Volunteers or Interns
09 ☐ Other staff – Specify  

Page 4
38. What sanction was imposed on the staff?  
(Mark [X] all that apply for all staff involved.)  
01. Sent to training or counseling  
02. Reprimanded or disciplined  
03. Demoted, diminished responsibilities, or suspended temporarily  
04. Transferred to another facility or unit  
05. Arrested or referred to law enforcement agency  
06. Referred for prosecution or indicted  
07. Convicted, plead guilty, sentenced, or fined  
08. Discharged, terminated, or contract not renewed  
09. Staff resigned (prior to completion of investigation)  
10. Staff resigned (after investigation was completed)  
11. Other – Specify  

12. No action taken  

39. At the time of the incident, how long had the staff worked at the facility?  
(Mark [X] all that apply for all staff involved.)  
01. Less than 6 months  
02. 6 months to 1 year  
03. 1 to 5 years  
04. 6 to 10 years  
05. More than 10 years  

NOTES  

Sexual victimization  

NONCONSENSUAL SEXUAL ACTS: Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;  

AND  

Contact between the penis and the vulva or the penis and the anus including penetration, however slight; OR  
Contact between the mouth and the penis, vulva, or anus;  

OR  
Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument.  

ABUSIVE SEXUAL CONTACT (less severe): Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;  

AND  
Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.  

EXCLUDE incidents in which the contact was incidental to a physical altercation.  

SEXUAL HARASSMENT BY ANOTHER INMATE: Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one inmate directed toward another.  

STAFF SEXUAL MISCONDUCT: Any behavior or act of a sexual nature directed toward an inmate by an employee, volunteer, contractor, official visitor or other agency representative (exclude family, friends or other visitors).  

Sexual relationships of a romantic nature between staff and inmates are included in this definition. Consensual or nonconsensual sexual acts include—  

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks that is unrelated to official duties or with the intent to abuse, arouse, or gratify sexual desire;  

OR  
Completed, attempted, threatened, or requested sexual acts;  

OR  
Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for reasons unrelated to official duties or for sexual gratification.  

STAFF SEXUAL HARASSMENT: Repeated verbal comments or gestures of a sexual nature to an inmate by an employee, volunteer, contractor, official visitor, or other agency representative (excludes family, friends, or other visitors). Include demeaning references to gender; or sexually suggestive or derogatory comments about body or clothing;  

OR  
Repeated profane or obscene language or gestures.  

Gender categories  

TRANSGENDER: A person whose gender identity (i.e., internal sense of feeling male or female) is different from the person’s assigned sex at birth.  

INTERSEX: A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as disorders of sex development.
1. On what date did the incident occur?
   (If more than one date, report the most recent.)
   Month Day Year
   04 22 2014 (date of report 02.23.14)

2. In what facility did the incident occur?
   Name
   City/Place

3. Where did the incident occur? (Mark [X] all that apply.)
   01 [X] In the victim's cell or room (e.g., if the victim and perpetrator
   share a cell or room, count as the victim's cell)
   02 [X] In the perpetrator's cell or room
   03 [ ] In a dormitory or other multiple housing unit
   04 [ ] In a common area (e.g., shower, dayroom, bathroom)
   05 [ ] In a temporary holding cell or intake area within the
   facility
   06 [ ] In a program service area (e.g., commissary, kitchen,
   storage, laundry, cafeteria, workshop, hallway)
   07 [ ] In an instructional area (e.g., classroom, school, library,
   conference room)
   08 [ ] In a recreation area (e.g., yard, courtyard, gymnasium)
   09 [ ] In a medical area (e.g., infirmary, health clinic)
   10 [ ] In a staff area (e.g., office, break room, counselor's office)
   11 [ ] Offsite or while in transit
   12 [ ] Other – Specify

   13 [ ] Location unknown

4. Did the incident take place in an area subject to
   video monitoring?
   01 [ ] Yes
   02 [X] No
   03 [ ] Don't know

5. What time did the incident occur?
   (Mark [X] all that apply.)
   01 [X] Morning (6 a.m. to noon)
   02 [ ] Afternoon (noon to 6 p.m.)
   03 [ ] Evening (6 p.m. to midnight)
   04 [ ] Overnight (midnight to 6 a.m.)
   05 [X] Time unknown

6. How many victims were involved in the incident?
   Number of victims 1
   → If more than two victims were involved, report their characteristics in Notes on page 5.

7. Victim #1: What was the victim's gender?
   (See definitions on page 5.)
   01 [ ] Male
   02 [X] Female
   03 [ ] Transgender
   04 [ ] Intersex

8. Victim #1: What was the victim's age at the
   time of the incident?
   01 [ ] Under age 18
   02 [ ] 18 - 24
   03 [ ] 25 - 29
   04 [ ] 30 - 34
   05 [ ] 35 - 39
   06 [ ] 40 - 44
   07 [ ] 45 - 54
   08 [ ] 55 or older

9. Victim #1: What was the victim's race/ethnic origin?
   (Mark [X] all that apply.)
   01 [ ] White (not of Hispanic origin)
   02 [X] Black (not of Hispanic origin)
   03 [ ] Hispanic or Latino
   04 [ ] American Indian/Alaska Native (not of Hispanic origin)
   05 [ ] Asian (not of Hispanic origin)
   06 [ ] Native Hawaiian or Other Pacific Islander
   (not of Hispanic origin)
   07 [ ] Other racial category in your information system – Specify

10. Victim #2: What was the victim's gender?
    (See definitions on page 5.)
    01 [ ] Male
    02 [X] Female
    03 [ ] Transgender
    04 [ ] Intersex

11. Victim #2: What was the victim's age at the
    time of the incident?
    01 [ ] Under age 18
    02 [ ] 18 - 24
    03 [ ] 25 - 29
    04 [ ] 30 - 34
    05 [ ] 35 - 39
    06 [ ] 40 - 44
    07 [ ] 45 - 54
    08 [ ] 55 or older

---

Burden Statement

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.
12. Victim #2: What was the victim's race/ethnic origin?
(Mark □ all that apply)
01 White (not of Hispanic origin)
02 Black (not of Hispanic origin)
03 Hispanic or Latino
04 American Indian/Alaska Native (not of Hispanic origin)
05 Asian (not of Hispanic origin)
06 Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 Other racial category in your information system – Specify ﾄ

13. Did the victim(s) sustain any physical injury during the incident?
02 No (No injury sustained)
01 Yes ➔ a. What injuries occurred?
(Mark □ all that apply for all victims.)
01 Knife or stab wounds
02 Broken bones
03 Anal or vaginal tearing
04 Chipped or knocked out teeth
05 Internal injuries
06 Knocked unconscious
07 Bruises, black eye, sprains, cuts, scratches, swelling, welts
08 Other – Specify ﾄ

➔ b. Did the victim(s) receive medical treatment for these injuries?
01 Yes
02 No

14. Who reported the incident?
(Mark □ all that apply.)
01 Victim
02 Another inmate (non-victim)
03 Victim's family or friend
04 Correctional officer or front line staff
05 Administrative staff
06 Medical, healthcare, or mental health staff
07 Instructor, teacher, or counselor
08 Other staff (e.g., kitchen worker, maintenance staff)
09 Chaplain or other religion official
10 Perpetrator
11 Perpetrator's family or friend
12 Grievance coordinator, grievance process, or ombudsman
13 Attorney or legal guardian (e.g., other than family member)
14 Confidential informant, anonymous tip, hot line, or through monitoring (e.g., camera, telephone, or mail)
15 Other – Specify ﾄ

15. After the incident was reported, was the victim(s) – (Mark □ all that apply for all victims.)
01 Given a medical examination
02 Administered a rape kit
03 Tested for HIV/AIDS
04 Tested for other sexually transmitted diseases
05 Provided with counseling or mental health treatment
06 Offered but declined testing or treatment
07 Already released/discharged
08 None of the above

16. After the incident was reported, was the victim(s) – (Mark □ all that apply for all victims.)
01 Placed in or returned to administrative segregation, protective custody, or disciplinary segregation
02 Placed in a medical unit, ward, or hospital
03 Confined to own cell or room
04 Given a higher custody level or different unit within the facility
05 Transferred to another facility
06 Transferred to another housing unit or dorm, or given a single room or cell
07 Separated from perpetrator
08 Issued disciplinary report or loss of privileges
09 Placed in camera room, under closer surveillance, or increased supervision
10 Other – Specify ﾄ

17. What type of sexual violence was involved in the incident? (See definitions on page 5.)
01 Inmate-on-inmate nonconsensual sexual act ➔ Complete Section A
02 Inmate-on-inmate abusive sexual contact ➔ Complete Section A
03 Inmate-on-inmate sexual harassment ➔ Complete Section A
04 Staff sexual misconduct ➔ Complete Section B
05 Staff sexual harassment ➔ Complete Section B

Section A – INMATE-ON-INMATE SEXUAL VICTIMIZATION

18. How many perpetrators were involved in the incident?
Number of perpetrators...

➔ If more than two perpetrators were involved, report their characteristics in Notes on page 5.
19. Perpetrator #1: What was the perpetrator's gender? (See definitions on page 5.)
   01 ☐ Male          02 ☐ Transgender          04 ☐ Intercas
   02 ☒ Female

20. Perpetrator #1: What was the perpetrator's age at the time of the incident?
   01 ☐ Under age 18  04 ☐ 30–34  07 ☒ 45–54
   02 ☒ 18–24         05 ☐ 35–39  08 ☐ 55 or older
   03 ☐ 25–29         06 ☐ 40–44

21. Perpetrator #1: What was the perpetrator's race/ethnic origin? (Mark ☒ all that apply.)
   01 ☐ White (not of Hispanic origin)
   02 ☒ Black (not of Hispanic origin)
   03 ☐ Hispanic or Latino
   04 ☐ American Indian/Alaska Native (not of Hispanic origin)
   05 ☐ Asian (not of Hispanic origin)
   06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)
   07 ☐ Other racial category in your information system – Specify ☒

22. Perpetrator #2: What was the perpetrator's gender? (See definitions on page 5.)
   01 ☐ Male          02 ☐ Transgender
   02 ☐ Female          04 ☐ Intercas

23. Perpetrator #2: What was the perpetrator's age at the time of the incident?
   01 ☐ Under age 18  04 ☐ 30–34  07 ☐ 45–54
   02 ☒ 18–24         05 ☐ 35–39  08 ☐ 55 or older
   03 ☐ 25–29         06 ☐ 40–44

24. Perpetrator #2: What was the perpetrator's race/ethnic origin? (Mark ☒ all that apply.)
   01 ☐ White (not of Hispanic origin)
   02 ☐ Black (not of Hispanic origin)
   03 ☐ Hispanic or Latino
   04 ☐ American Indian/Alaska Native (not of Hispanic origin)
   05 ☐ Asian (not of Hispanic origin)
   06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)
   07 ☐ Other racial category in your information system – Specify ☒

25. What was the nature of the incident? (Mark ☒ all that apply.)
   01 ☐ Voluntary sexual contact between inmates
   02 ☐ Sexual harassment
   03 ☒ Indecent exposure, masturbation, or voyeurism
   04 ☐ Horseplay
   05 ☐ Repeated and unwelcome sexual advances or requests for sexual favors
   06 ☐ Unwanted touching for sexual gratification or abusive sexual contact
   07 ☐ Pressure or coercion (without force) resulting in a nonconsensual sexual act
   08 ☐ Physical force (or the threat of force) resulting in a nonconsensual sexual act
   09 ☐ Other – Specify ☒

26. What type of pressure or physical force was used by the perpetrator on the victim? (Mark ☒ all that apply for all perpetrators.)
   01 ☐ Persuasion or talked into sexual activity
   02 ☐ Threatened with physical harm
   03 ☒ Surprised the victim with unwanted touching, grabbing or groping, or victim was asleep
   04 ☐ Bribed by money or other incentives
   05 ☐ Gave victim drugs or alcohol
   06 ☐ Offered protection from other inmates
   07 ☐ Threatened with physical harm
   08 ☐ Physically held victim down or restrained in some way
   09 ☐ Physically harmed or injured
   10 ☐ Threatened with a weapon
   11 ☐ Other – Specify ☒

27. What sanction was imposed on the perpetrator(s)? (Mark ☒ all that apply for all perpetrators.)
   01 ☐ Placed in solitary confinement or disciplinary segregation
   02 ☐ Confined to own cell or room
   03 ☐ Placed in higher custody level, restricted unit or program, within the same facility
   04 ☐ Transferred to other unit/cell or separated from victim
   05 ☐ Transferred to another facility
   06 ☐ Loss of "good/gain" time, increase in "bad" time or delayed release
   07 ☐ Given extra work
   08 ☐ Loss of privileges, disciplinary report or conduct violation, or other reprimand
   09 ☐ Sent to counseling or treatment team
   10 ☐ Arrested or referred to law enforcement agency
   11 ☐ Referred for prosecution or indicted
   12 ☐ Convicted, given new sentence, or fined
   13 ☐ Other – Specify ☒
28. What was the nature of the incident? (Mark [X] all that apply.)

- Physical force resulting in a nonconsensual sexual act
- Pressure or abuse of power resulting in a nonconsensual sexual act
- Indecent exposure, invasion of privacy, or voyeurism for sexual gratification
- Unwanted touching for sexual gratification
- Sexual harassment or repeated verbal statements of a sexual nature by staff
- Wrote letters, showed pictures, or offered gifts or special privileges to inmate
- Sexual relationship between inmate and staff that appeared to be willing
- Other – Specify

- Level of coercion unknown

29. How many staff were involved in the incident?

Number of staff...

→ If more than two staff were involved, report their characteristics in Notes on page 5.

30. Staff #1: What was the gender of the staff?

- Male
- Female

31. Staff #1: What was the age of the staff at the time of the incident?

- 24 or younger
- 25 – 29
- 30 – 34
- 35 – 39

32. Staff #1: What was the race/ethnic origin of the staff involved in the incident? (Mark [X] all that apply.)

- White (not of Hispanic origin)
- Black (not of Hispanic origin)
- Hispanic or Latino
- American Indian/Alaska Native (not of Hispanic origin)
- Asian (not of Hispanic origin)
- Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
- Other racial category in your information system – Specify

33. Staff #2: What was the gender of the staff?

- Male
- Female

34. Staff #2: What was the age of the staff at the time of the incident?

- 24 or younger
- 25 – 29
- 30 – 34
- 35 – 39

35. Staff #2: What was the race/ethnic origin of the staff involved in the incident? (Mark [X] all that apply.)

- White (not of Hispanic origin)
- Black (not of Hispanic origin)
- Hispanic or Latino
- American Indian/Alaska Native (not of Hispanic origin)
- Asian (not of Hispanic origin)
- Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
- Other racial category in your information system – Specify

36. Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer? (Mark [X] all that apply for all staff involved.)

- Full- or part-time paid employee
- Contract employee or vendor
- Volunteer or intern
- Other – Specify

37. What was the primary position description of the staff involved in the incident? (Mark [X] all that apply for all staff involved.)

- Administrator, including wardens, superintendents, assistants and others in administrative positions
- Correctional officer or supervisory staff
- Clerical staff including secretaries, clerks, receptionists, and other administrative support
- Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
- Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
- Education staff, including instructors, teachers, librarians, and education assistants
- Other program staff
- Volunteers or Interns
- Other staff – Specify
38. What sanction was imposed on the staff? 
(Mark □ all that apply for all staff involved.)

01 Sent to training or counseling
02 Reprimanded or disciplined
03 Demoted, diminished responsibilities, or suspended temporarily
04 Transferred to another facility or unit
05 Arrested or referred to law enforcement agency
06 Referred for prosecution or indicted
07 Convicted, plead guilty, sentenced, or fined
08 Discharged, terminated, or contract not renewed
09 Staff resigned (prior to completion of investigation)
10 Staff resigned (after investigation was completed)
11 Other – Specify

12 No action taken

39. At the time of the incident, how long had the staff worked at the facility? 
(Mark □ all that apply for all staff involved.)

01 Less than 6 months
02 6 months to 1 year
03 1 to 5 years
04 5 to 10 years
05 More than 10 years